

Ecosystem service multifunctionality and trade-offs in English Green Belt peri-urban planning

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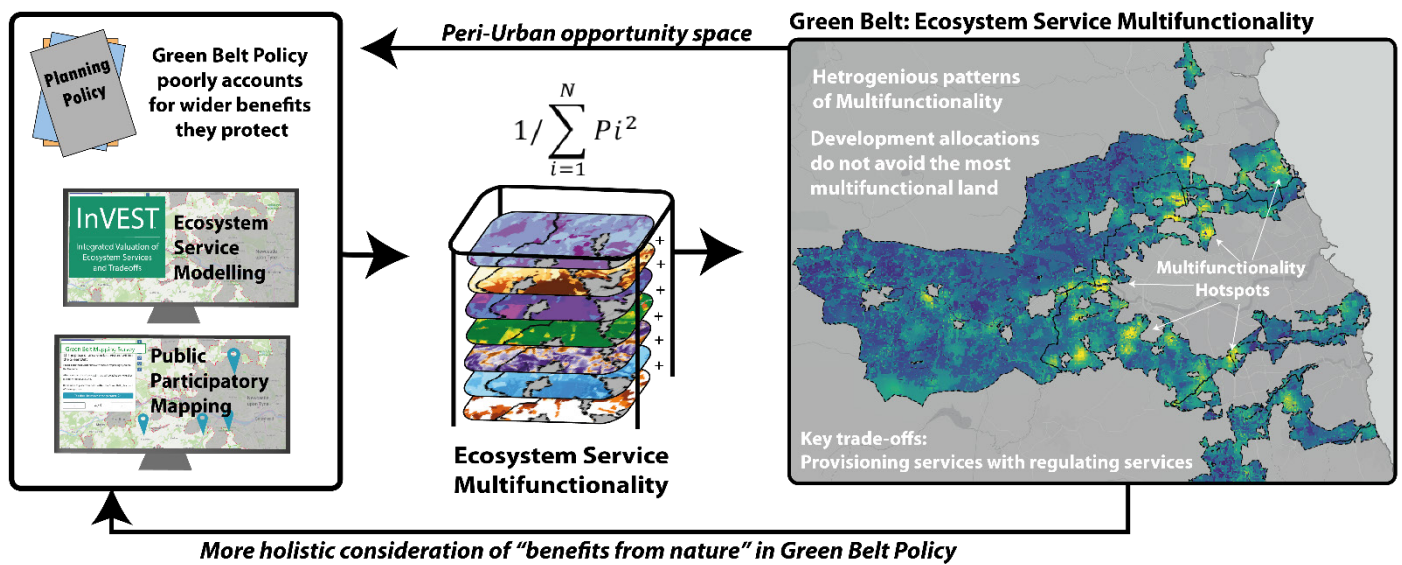
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Abstract

Green Belt policies govern peri-urban landscapes globally by restricting built development. Yet, they often have little consideration for the land within them. This is especially the case in England where ecosystem services are poorly accounted for in Green Belt policy, whilst also being viewed as a development obstacle, with few environmental and social benefits; a situation mirrored in peri-urban landscapes globally. Moreover, there is a significant research gap into Green Belts through the socio-ecological lenses of ecosystem services and multifunctionality, which allows populist discourses to go unchallenged. Using modelling and participatory mapping data this paper addresses this gap by quantifying the ecosystem service supply, trade-offs and multifunctionality of the North-East Green Belt, and the wider planning and policy implications. The results show that contrary to claims, Green Belts in England can and do provide multiple benefits to people when studied through these lenses. However, levels of individual ecosystem services and overall multifunctionality differ spatially within Green Belts resulting in opportunity areas as well as potential losses of ecosystem services from development. Areas of deciduous and coniferous woodland as well as key “green wedges” close to urban populations were found to be multifunctionality “hots-spots”, whereas arable and improved grassland provide notable “cold-spots”. Trade-offs were mostly from provisioning services. We argue that Green Belt policies explicitly and holistically accounting for ecosystem services could catalyse a multifunctional opportunity space for climate, nature and people in peri-urban landscapes. Additionally, our study demonstrates the conceptual merits of ecosystem service multifunctionality for planning.

Graphical Abstract



Research Highlights

- Green Belt policy has mostly neglected the wider benefits from nature it protects.
- Green Belt zones provide important and notable supplies of ecosystem services, contrary to mainstreamed claims.
- Significant variation in multifunctionality is found, with urban edge areas some of the most multifunctional.
- Areas of higher ecosystem service multifunctionality are not protected from potential development.
- Trade-offs are found between provisioning and other ecosystem services.

Keywords: Landscape Planning; Multifunctionality; Planning Policy; Ecosystem Services; InVEST;

Peri-Urban

1 **1 Introduction**

2 The development and operationalisation of the ecosystem service framework has significantly evolved
3 globally in environmental disciplines since its inception (Costanza et al., 2017). Simply put,
4 ecosystem services are material and non-material benefits we get from nature (Millennium Ecosystem
5 Assessment, 2005), with the stocks they originate from commonly referred to as “natural capital”
6 (Costanza et al., 2017). Despite the progress made, there has been comparatively low uptake of the
7 ecosystem service framework outside environment disciplines, notably in land-use and spatial
8 planning, where value perceptions of natural capital are seen to compete with other forms of capital
9 (Scott et al., 2018; Wei & Zhan, 2023). The framework has also been critiqued due to its
10 anthropogenic focus, and incompatibility with biodiversity goals (Spash, 2009). Yet, research has still
11 sought to understand how to mainstream ecosystem services into other sectors, including land-use and
12 spatial planning (Scott et al., 2021). Within this context the impacts of planning policies and decisions
13 on the supply of ecosystem services need to be quantified (Salata et al., 2020; Scott et al., 2018),
14 which can be gained from the application of qualitative and quantitative evaluations of ecosystem
15 services provision (Bagstad et al., 2013). Spatially explicit approaches to modelling the biophysical
16 flows of ecosystem services can be especially useful in supporting planning policy decisions by
17 determining the synergies and trade-offs between different policy targets within landscapes that would
18 be affected by such policy decisions (Maes et al., 2012).

19 An increasingly popular way to understand the contributions of ecosystem services from landscapes is
20 through the lens of multifunctionality (Hölting, Beckmann, et al., 2019). Landscape
21 multifunctionality, defined simply as “the capacity of a landscape or ecosystem to provide multiple
22 socio-economic and ecological benefits to society” (Hölting, Beckmann, et al., 2019, p. 226), is a
23 notable conceptual expansion from traditional landscape ecology approaches, which advocates the
24 combined and multiple benefits natural capital can provide through bundles of ecosystem services,
25 whilst also recognising trade-offs between services (Hölting, Beckmann, et al., 2019; Manning et al.,
26 2018). Trade-offs refer to opposing ecosystem services, where one increases, other(s) reduce. Looking
27 through a multifunctionality lens, not all ecosystem services can be maximised; rather groups of

28 complementary services (bundles) can be identified which interact positively(Spyra et al., 2020).

29 Within planning, multifunctionality has gained traction as part of green and blue infrastructure¹ as a
30 way to understand nature’s benefits more holistically through a planned and managed network
31 (Korkou et al., 2023). Therefore, multifunctionality may be a potential “bridge²” concept to improve
32 mainstreaming of ecosystem services.

33 The ecosystem services framework is particularly relevant in peri-urban landscapes where ecosystem
34 services are threatened by land-use change and urban sprawl (Shaw et al., 2020). However, to date the
35 peri-urban has been a policy blind spot, with the notable exception of urban growth management
36 policies (UGMPs) which govern built development within these zones (Kirby et al., 2023). One way
37 proposed to better govern these landscapes is by defining their functionality, and recognising them as
38 important resources to urban populations (Hedblom et al., 2017). Whereas UGMPs have been shown
39 to be effective in preventing sprawl (Pourtaherian & Jaeger, 2022), ecosystem services are rarely
40 considered explicitly (Kirby et al., 2023). Internationally, limited research has showed UGMP’s
41 importance for ecosystems services, for example in Canadian (Ruiz-Sandoval et al., 2019) and
42 Germany Green Belts (Zepp, 2018). However, such studies ignore cultural ecosystem services,
43 instead favouring regulating and provisioning ecosystem services (Kirby et al., 2023). As such,
44 current peri-urban policy responses are largely incapable of managing trade-offs between ecosystem
45 services and land-uses which experience trade-offs within and between ecosystem services types
46 (Spyra et al., 2020), thus limiting their ability to be holistically governed.

47 Such challenges are exemplified in one such UGMP: English Green Belts, which were first
48 implemented nationally in the 1950s and today cover 12.6% of England’s land. Green Belt policy
49 seeks to prevent uncontrolled development and urban sprawl, but it does not have formal purposes to
50 improve functionality and benefits of the land it (MHCLG, 2021). Recently, debates have refocused

¹ the “managed network of terrestrial and water spaces found across our urban and rural landscapes that help deliver socio-economic and ecological benefits supporting ecosystem functions and societal well-being” (Mell & Scott, 2023).

² A “linking term, concept or policy priority that is used and readily understood across multiple groups and publics” Scott et al. 2018 (pg 232).

51 on the purpose of Green Belt, within the backdrop of a significant housing deficit in England (Mace,
52 2018). Here, opponents of Green Belt argue it has a negative economic and social effect which is an
53 obstacle to building (Koster & Zabihidan, 2019; Mace, 2018). However, these arguments fail to
54 acknowledge or account for the wider non-market values these policies protect, including ecosystem
55 services. Remarkably, there has been no explicit assessment of Green Belts ecosystem services in
56 England to challenge this discourse (Kirby et al., 2023), aside from recent work showing their
57 importance for cultural ecosystem services (Kirby, Scott, & Walsh, 2023). As such the contentious
58 nature of the Green Belt in England is stoked by polarising debates between the need for more
59 housing and the protection of the countryside (Dockerill & Sturzaker, 2020; Mace, 2018). The
60 challenge of mainstreaming the benefits of nature in Green Belt is further fuelled by a neoliberal
61 discourse that “ [green belt] land is of no particular social or environmental value at all” (Fabian
62 Society, 2023, p. 7), as well as attempts to separate the policy from its incidental benefits (Mace,
63 2018). Such claims are potentially misleading given that non-pristine environments supply notable
64 ecosystem services and thus benefits to people (Honey-Rosés et al., 2014).

65 Whilst the fundamental aim of Green Belt policy in England is to prevent urban sprawl, secondary
66 objectives exist to promote their beneficial planning for people and nature, including a recent policy
67 for compensatory improvement of the environmental quality and access of Green Belt for any
68 development within it (MHCLG, 2021). However, a recent study found a wide variation in the degree
69 to which Green Belt policies explicitly aim to protect and increase a range of ecosystem services as
70 secondary benefits, and diverging approaches to compensatory improvement (Kirby & Scott, 2023).
71 Set within the current political landscape which sees diverging policies for Green Belt, including calls
72 for wider multifunctionality (House of Lords, 2022) and a new generation of multi-goaled 21st century
73 Green Belts internationally (Macdonald et al., 2021), there is a urgent need for evidence on the
74 ecosystem services, and multifunctionality provided by Green Belt landscapes in England to help
75 inform this debate and the policy direction.

76 More broadly, peri-urban landscapes globally, and especially in Europe, are experiencing similar
77 political, social and environmental drivers of land-use change in their peripheries, resulting in the loss

78 of natural capital (Shaw et al., 2020), consequently requiring a robust evidence base to demonstrate
79 their benefits as spaces in their own right. Additionally, given the extensive implementation of
80 UGMPs policies internationally (Amati & Taylor, 2010; Kirby et al., 2023; Pourtaherian & Jaeger,
81 2022), demonstrations of their interplay with ecosystem services is highly relevant and applicable for
82 peri-urban policy development. Furthermore, “natural capital assessments” which economically value
83 a given area have gained policy traction in Europe (Ruijs et al., 2019) These, however, do not quantify
84 spatial heterogeneity in supply.

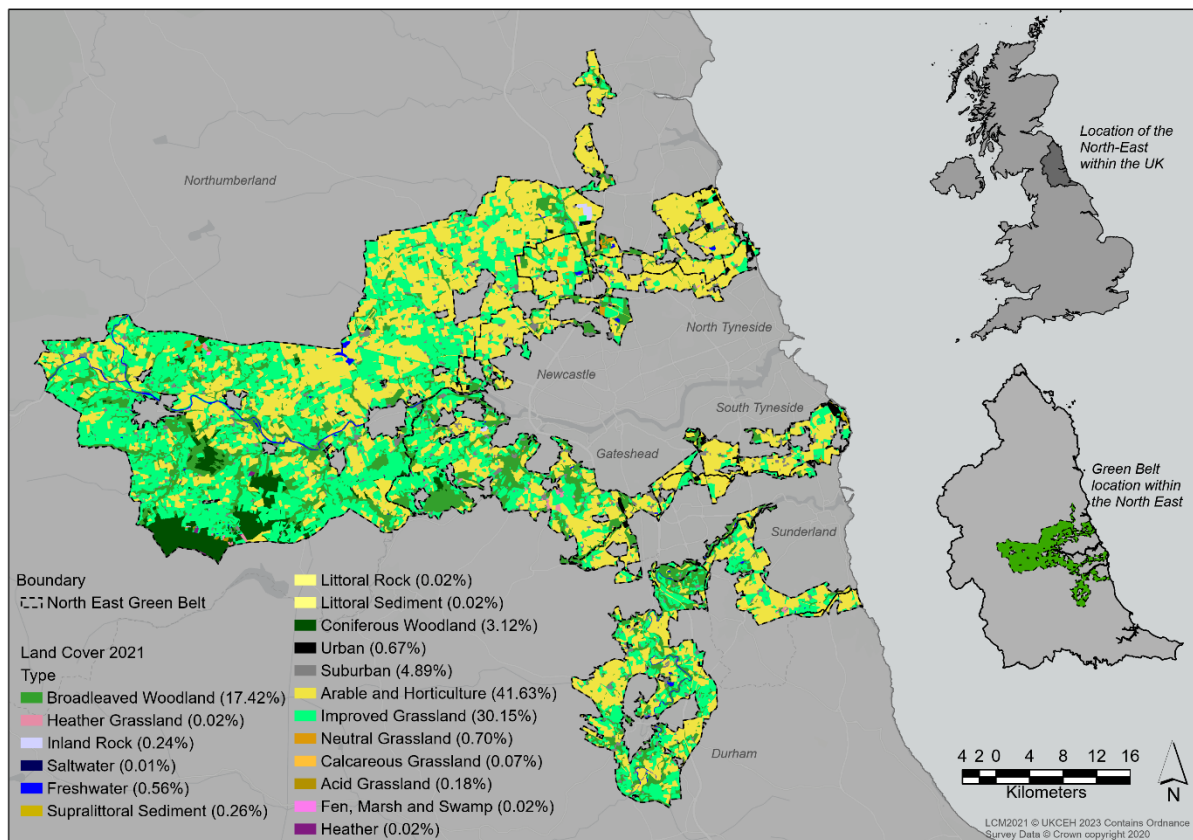
85 To address these important research and policy gaps, this paper aims to answer the following
86 questions: (1) How does the supply of ecosystem services differ within Green Belts? (2) What trade-
87 offs and synergies exist between these ecosystem services? (3) What levels of ecosystem service
88 multifunctionality exist in Green Belts? And (4) do current built developments allocations in Green
89 Belts conflict with ecosystem service multifunctionality?

90 **2 Methods**

91 To answer the research questions multiple ecosystem services were quantified in the North-East
92 Green Belt using both modelling and participatory mapping quantifications and analysed.

93 **2.1 Case region**

94 The North-East Green Belt is located around the cities of Newcastle, Gateshead, Sunderland, and
95 Durham and extends north and west into Northumberland (Figure 1), with the wider region home to
96 around 2.5 million people. A Green Belt was first designated around the North-East conurbations in
97 the 1960s and has grown to covers 772 km². There is no regional strategic planning of the Green Belt,
98 instead it is sub-divided amongst seven local authorities. Historically, the region has been home to
99 coal and heavy industries which declined in the latter 20th, resulting in land-use reclamation mainly
100 for agriculture and housing. As shown in Figure 1, the Green Belts land cover mainly consists of
101 arable land (42%); improved grassland (30%) and broadleaved woodland (17%). Given the range of
102 land covers, its size, mix of rural and urban local authorities and varying development pressures it
103 provides an ideal case region to study the ecosystem services provided by an English Green Belt.



104

105 **Figure 1:** Location and land cover classification of the North East Green Belt using UKCEH Land Cover Map Data (Morton
 106 et al., 2022) (adapted from Kirby, Scott & Walsh, 2023)

107

108 2.2 Ecosystem service assessments

109 Ten ecosystem services were quantified consisting of six regulating, two provisioning and two

110 cultural in the North-East Green Belt, through a mixed-method approach as summarised in Table 1.

111 The choice of ecosystem services was based on model and data availability as well as relevancy to the
 112 peri-urban Green Belt context identified by a research project stakeholder steering group³. Regulating

113 and provisioning services, with the exception of crop production were estimated using the InVEST

114 (Integrated Valuation of Ecosystem Services and Tradeoffs) ecosystem services suite of models,

115 which are spatially explicit, internationally adaptable and based on production functions (Natural

116 Capital Project, 2022). Whilst there is variation in results when comparing models (Sharps et al.,

117 2017), the InVEST suite have been widely applied in academic research internationally, including the

³ The group was composed of 10 regional and national stakeholders from local and private sector planning, professional institutes, politicians, and environmental charities and took place in a workshop format.

118 English context (Karimi et al., 2021; Rayner et al., 2021; Zawadzka et al., 2017). Given the InVEST
 119 Crop Production model utilises a global look up table for crop yield, agricultural crop production as a
 120 provisioning service was estimated through a bespoke modelling approach, based on crop types and
 121 regional average yields. The two cultural ecosystem services were estimated using a Public
 122 Participatory GIS (PPGIS survey (Kirby, Scott, & Walsh, 2023). A full and detailed outline of data
 123 inputs, data processing, parametrisations and assumptions for all models are available in Appendix 1
 124 of the Supplementary Material.

Table 1: Overview of ecosystem services quantified including, ecosystem service category method of estimation and summary. Adapted from CICES 5.1

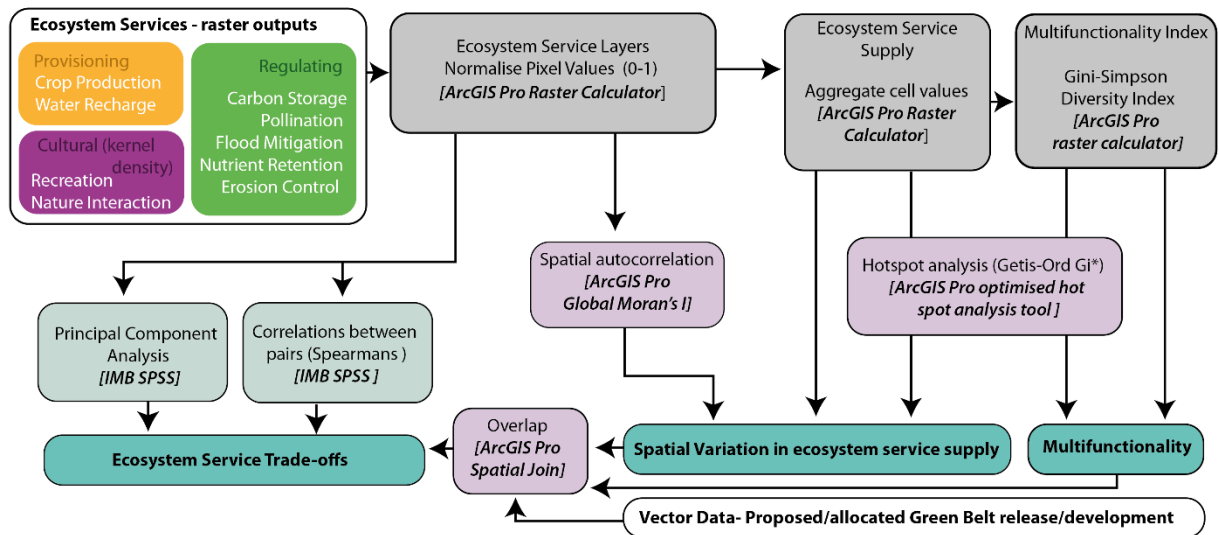
Ecosystem Services	Category	Method of Estimation	Data Inputs	Summary
Carbon Storage	Regulating	InVEST (3.12.0) Carbon Storage	LULC Map and carbon values for different stocks per LULC classifications	Aggregates the total values in these stock per pixel according to the land use classification and a raster output is produced showing total carbon per pixel.
Potential Pollination	Regulating	InVEST (3.12.0) Crop Pollination	LULC Map, associated nesting availability and floral resources. Foraging activity, nesting suitability and flight range	Uses estimates of availability of nest sites and floral resources within pollinator flight ranges to derive an index of abundance per cell of wild bees, represented as a raster.
Erosion Control	Regulating	InVEST (3.12.0) Sediment Delivery Ratio	DEM, rainfall erosivity, soil erodibility, LULC and associated C & P factors	Calculate the amount of soil loss per pixel and its transportation in the landscape using the universal soil loss equation. A raster output is produced showing sediment retention per pixel.
Nutrient retention (Nitrogen & Phosphorous)	Regulating	InVEST (3.12.0) Nutrient Delivery Ratio	DEM, quickflow, LULC and associated N & P loadings, critical flow length and retention efficiency	Using a mass balance approach it inputs to model the movement of nutrients across a landscape producing a raster output showing per pixel load which reaches a water course.
Flood Mitigation (1 in 20 year event)	Regulating	InVEST (3.12.0) Urban Flood Risk Mitigation	Design storm (rainfall depth), soil hydrological group, LULC map and associated curve numbers	Estimate the run-off retention per pixel compared to the storm volume, producing a raster of relative run-off retention per pixel.
Water Recharge	Provisioning	InVEST (3.12.0) Seasonal Water Yield	ET0, precipitation, soil hydrological group, DEM, LULC map and associated curve number, Kc value per soil and LULC classification.	The model determines the value of baseflow, quickflow and local recharge. A raster output for per pixel local recharge is produced.
Crop Production	Provisioning	Bespoke modelling approach	LULC map, regional average crop yields per crop	Average regional crop yield values were aggregated to the pixel level and the crop cover extent reclassified in ArcGIS Pro to create a raster output of crop yield.
Recreation	Cultural	Participatory GIS Survey	Volunteered data gathered through an online PPGIS survey (Kirby, Scott & Walsh., 2023)	Participants plot areas of recreation use by placing point on an online map.
Perceived Connection with Nature	Cultural	Participatory GIS Survey	Volunteered data gathered through an online PPGIS survey (Kirby, Scott & Walsh., 2023)	Participants plot areas where they perceived a connection with nature by placing point on an online map.

125

126 PPGIS generates spatial data from participants who answer questions by placing points on maps, and
127 is considered one of the most effective ways to estimate and map cultural ecosystem services in a
128 landscape (Fagerholm et al., 2020; Gottwald et al., 2022). As shown in Table 1, two cultural
129 ecosystem services were quantified from PPGIS data from a recent study into the cultural ecosystem
130 services in the North-East Green Belt reported in Kirby, Scott & Walsh (2023). The online PPGIS
131 survey was conducted between October 2022 and January 2023 resulting in 779 respondents plotting
132 2388 points, with most participants recruited through volunteering sampling from community social
133 media groups across the study area. The full methodology for the participatory derived datasets and
134 wider study can be found in Kirby, Scott & Walsh (2023). Our study utilises the two most abundantly
135 mapped cultural ecosystem services from this study, Recreation and Connection with Nature to
136 further understand their relationship with provisioning and regulating services. Recreation refers to
137 physical use i.e. walking, running, cycling, whereas “connection with nature” is where participants
138 perceived nature as an important benefit to using the Green Belt. This distinction is important for the
139 plurality of CES where they are perceived differently by individuals as well as challenging the expert-
140 led conceptualisation of ES (Maund et al., 2020). Whilst modelling approaches exist to quantify
141 cultural ecosystem services, they lack the use of these directly collected community and social values
142 which are key given the human-environment interactions which form cultural ecosystem services
143 (Fagerholm et al., 2020).

144 **2.3 Data processing & analysis**

145 The individual ecosystem service raster outputs were imported into ArcGIS Pro for data processing
146 and analysis, as shown in Figure 2. Different analyses were chosen to answer the respective research
147 questions drawing on previously applied methods (Salata et al., 2020; Sylla et al., 2020; Verhagen et
148 al., 2018; Zawadzka et al., 2017, 2019). The analytical approach was also informed by a stakeholder
149 steering group, which co-designed elements of the analysis, including the spatial scale of outputs,
150 ecosystem service prioritisation and highlighting evidence gaps. Incorporation of stakeholders in the
151 design process can help account for the range of interests, values and demands on ecosystem services
152 (Hölting et al., 2020) and increase usability of outputs (Salata et al., 2020).



153

154 **Figure 2:** Flow diagram of ecosystem services key data analysis steps. White boxes = data input, grey = raster analysis,
 155 purple = vector analysis, light green = statistical analysis and dark green = outputs of analysis. Nutrient Retention include
 156 quantification of both Nitrogen and Phosphorus retention.

157

158 2.3.1 Data processing

159 Firstly, pixel values of the individual ecosystem service outputs were normalised between 0-1 using the
 160 ArcGIS Pro raster calculator. Normalisation allowed the comparison and aggregation of services
 161 which are quantified in different units and scales (Sylla et al., 2020). Additionally, it acknowledges
 162 low accuracy of some modelled ‘absolute values’ compared to more accurate “relative” values
 163 (Natural Capital Project, 2022). To reduce spatial noise in the data, raster outputs were resampled to
 164 100x100m spatial resolution.

165 2.3.2 Multifunctionality

166 One way to combine ecosystem services is through the lens of multifunctionality (Hölting, Beckmann,
 167 et al., 2019; Manning et al., 2018), which can be represented as richness (number of services),
 168 abundance (total supply of services) and diversity (evenness of services) (Hölting, Jacobs, et al.,
 169 2019). Although, ecosystem service supply (abundance) provides an important multifunctionality
 170 metric (Hölting, Jacobs, et al., 2019; Stürck & Verburg, 2017), it can be skewed by the dominance of
 171 a single high supplying ecosystem service. Therefore, diversity indices such as Simpsons and
 172 Shannon-Wiener have been applied to landscape contexts including ecosystem services as a form of

173 multifunctionality (Hölting, Jacobs, et al., 2019). In a landscape context Shannon-Wiener diversity
174 emphasises richness (Nagendra, 2002), whereas, Simpsons diversity index better represent the
175 evenness aspect of services (Hölting et al., 2020; Hölting, Jacobs, et al., 2019; Stürck & Verburg,
176 2017).

177 Therefore, multifunctionality was represented both as supply and diversity of ecosystem services.
178 Ecosystem services supply was calculated by equally aggregating the normalised pixel values using
179 the ArcGIS Pro raster calculator. Even though the stakeholder group expressed differences in demand
180 between services, ultimately, they felt that a weighted aggregations would be more appropriate for
181 smaller spatial areas, therefore services were aggregated equally.

182 Given the study focused on a fixed set of ecosystem services, diversity was calculated using the
183 Simpson Diversity Index $(D) = 1 - \sum_{i=1}^N Pi^2$, (Simpson, 1949) where N= the total number of
184 ecosystem services in the Green Belt, pi=the supply of each ecosystem service per pixel and (i)
185 proportionally to the supply of all ecosystem services in the Green Belt. Simpson Diversity Index for
186 the Green Belt ecosystem services was calculated using the ArcGIS Pro raster calculator and
187 converted $(1/D)$ into the Simpson's Reciprocal Index to better show variation (Stürck & Verburg,
188 2017). The stakeholder workshop informed the appropriate scales to display both multifunctionality
189 outputs. Here the group felt outputs were needed at both the site scale (using a grid size of 250x250m)
190 and at the landscape scale (using a grid size of 1.5x1.5km) to support multi-scalar planning.

191 **2.3.3. Spatial variations**

192 Several vector analyses were used to establish spatial variation in service provisions; therefore, raster
193 datasets were converted to vectors using the ArcGIS Pro raster to vector tool. To avoid misidentifying
194 of patterns (Shaikh et al., 2021) spatial autocorrelation was tested for in ArcGIS Pro using the Global
195 Moran's I tool to determine if ecosystem services were significantly clustered. Following comparable
196 studies (Salata et al., 2020; Sylla et al., 2020) ArcGIS Pro optimised hot spot analysis tool (Getis-Ord
197 G_i^*) was used to identify statistically significant spatial hot spots and cold spots in ecosystem service
198 multifunctionality. Overlay analysis using the ArcGIS Pro spatial join tool was then used to determine

199 the distribution of hot and cold spots amongst LULC classes. To determine the significance of classes
200 compared to their proportional land covers, z-scores were calculated. Scores ≥ 1.96 ($\alpha = 0.05$) suggest
201 significantly greater proportion of points than expected scores ≤ -1.96 suggesting significantly less
202 (Brown, 2013).

203 **2.3.4 Trade-offs and synergies between ecosystem services**

204 Statistical analysis was used to analyse trade-offs and synergies between ecosystem services. A
205 250x250m grid was created in ArcGIS Pro and grid squares attributed with the mean normalised
206 ecosystem services values. Spearman correlation coefficient was calculated in IMB SPSS to identify
207 positive and negative correlations (synergies and trade-offs) between ecosystem services (Fagerholm
208 et al., 2012; Hölting et al., 2020). Relationships were classed as statistically significant for $p < 0.05$
209 and correlation coefficients were categorised as strong when $r_s \geq 0.5$ (synergies) / $r_s \leq -0.5$ (trade-offs),
210 moderate from $r_s \geq 0.3$ to < 0.5 (synergies) / $r_s \geq -0.3$ to < -0.5 (trade-offs), and weak when $r_s < 0.3$
211 (synergies) / $r_s \geq -0.3$ (trade-offs) (Fagerholm et al., 2012; Sylla et al., 2020).

212 Given its application and ability to identify ecosystem services bundles principle component analysis
213 (PCA) was conducted in IMB SPSS (Karimi et al., 2021; Plieninger et al., 2019). Kaiser-Meyer-Olkin
214 (KMO) and Barlett's test of sphericity ($KM0 = 0.621$ and Barlett's test of sphericity $= p < 0.001$)
215 showed the data was suitable for PCA. The number of retained factors were selected according to the
216 Kaiser Guttman rule (Eigenvalue ≥ 1) and the scree plot, (Appendix 2: Figure A2.11). The factor
217 analysis was applied using a Varimax rotation.

218 To identify trade-offs between multifunctionality and potential Green Belt development, hotspots
219 were spatially overlaid with allocated Green Belt releases in ArcGIS Pro and attributed with their
220 mean multifunctionality values. Published and emerging local authority plans in the North-East Green
221 Belt were reviewed for proposed releases. Four (Durham, Gateshead, Sunderland, and Newcastle) of
222 the seven local planning authorities in the Green Belt have adopted plans with Green Belt release.
223 Two local authorities (Gateshead and Durham) have representatives on the project steering group, and
224 as such these authorities were used as case studies for the analysis.

225 **3 Results**

226 The ten ecosystem services quantified each show spatial heterogeneity in their supply across the
227 Green Belt extent, as well as differing patterns of distribution of higher and lower ecosystem service
228 supply (Figure 3). All ecosystem services were statistically significantly clustered spatially in the
229 Green Belt (Appendix 2 Table A2.1).

230 **3.1 Ecosystem service synergies, bundles & trade-offs**

231 Of the 45 pairwise correlations between ecosystem services, 37 were significant ($p \leq 0.001$). Of these 5
232 were strong, 14 were moderate and 13 weak (Table 2). Strong synergies were found between
233 regulating services: (1) P & N Retention; (2) N Retention & Flood Mitigation. However, the strongest
234 synergy was between recreation and connection with nature ($r_s = 0.943$). Notably, most synergies were
235 with pollination (7 synergies), carbon storage (5 synergies), flood mitigation (5 synergies) and water
236 recharge (5 synergies) with the latter being a provisioning service (Table 2).

237 All trade-offs between pairs of ecosystems services involved a provisioning service (Table 2). The
238 strongest trade-offs were between crop production and flood mitigation ($r_s = -0.52$) and between crop
239 production and pollination ($r_s = -0.53$). That is, the difference in supply was highest between these
240 services. Moderate trade-offs were found between water recharge and (1) flood mitigation, (2)
241 pollination and (3) carbon storage. Likewise, crop production had moderate trade-offs with (1) carbon
242 and (2) nutrient retention. Overall, cultural ecosystem services had the lowest synergies and trade-offs
243 with other ecosystem services categories.

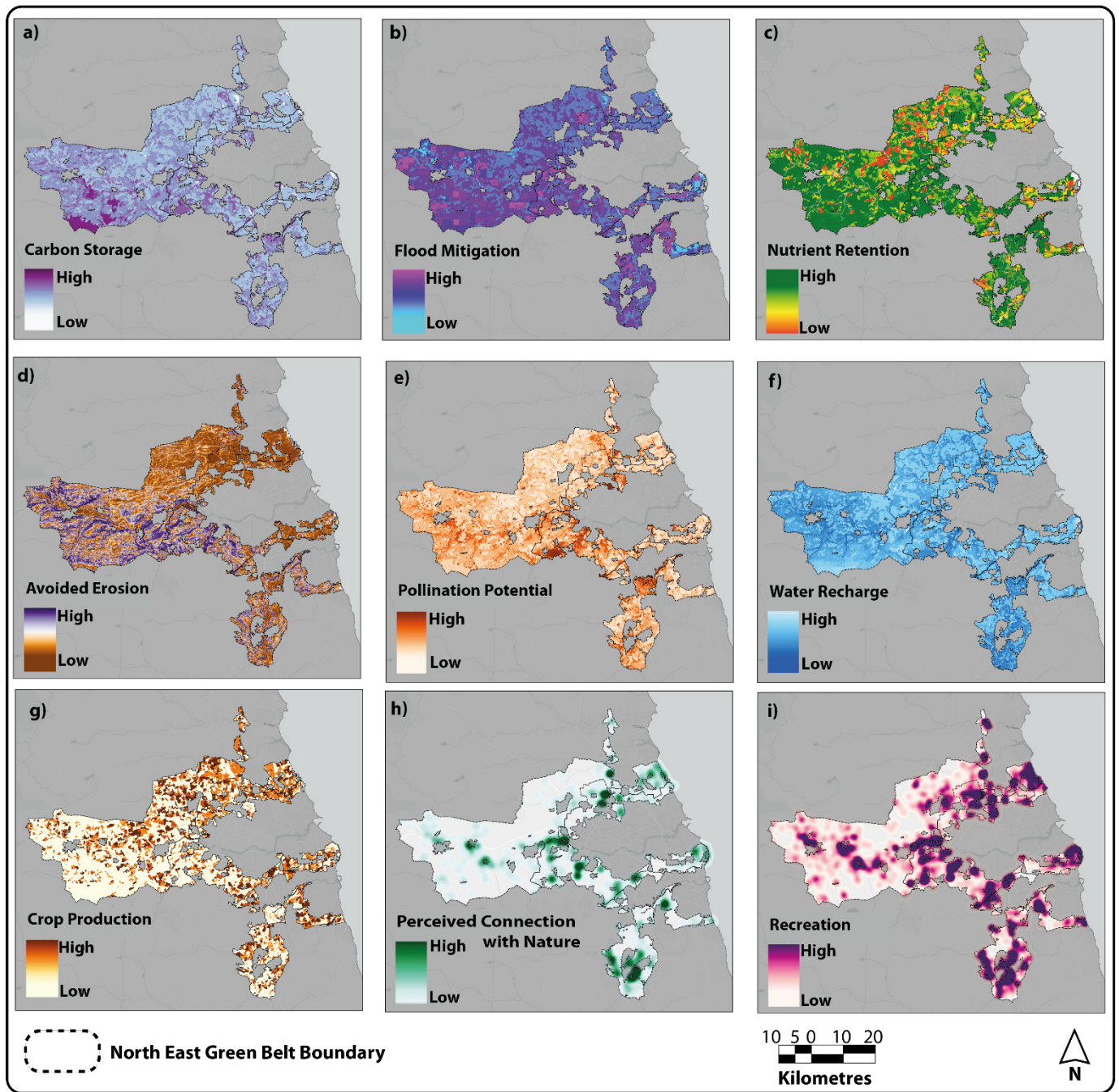


Figure 3: Individual Ecosystem Services estimated for the North-East Green Belt. All values are shown as relative (low-high) in the landscape. a-e: regulating services, f-g: provisioning services and h-i: cultural services. Full size figure for each are available in Appendix 2 of the supplementary materials (Figures A2.1-A2.10).

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Table 2: Pairwise correlations (Spearman's rank correlation coefficient) between ecosystem service pairs

Nutrient Retention P	1.000																		
Nutrient Retention N	.747**	1.000																	
Flood Mitigation	.463**	.518**	1.000																
Avoided Erosion	.187**	.214**	.333**	1.000															
Water Recharge	-.097**	-.140**	-.344**	-.261**	1.000														
Potential Pollination	.251**	.344**	.481**	.315**	-.325**	1.000													
Crop Production	-.247**	-.372**	-.520**	-.228**	.365**	-.533**	1.000												
Carbon Storage	.221**	.221**	.448**	.340**	-.410**	.369**	-.353**	1.000											
Recreation	-0.016	0.009	-.033**	.038**	-.133**	.222**	0.008	0.016	1.000										
Connection with Nature	-0.019	0.003	-.032**	.028**	-.127**	.211**	0.004	0.014	.943**	1.000									
	Nutrient Retention P	Nutrient Retention N	Flood Mitigation	Avoided Erosion	Water Recharge	Potential Pollination	Crop Production	Carbon Storage	Recreation	Connection with Nature									
	Weak Trade-off	Moderate Trade-off	Strong Trade-off	Weak synergy	Moderate synergy	Strong synergy													
	rs ≥ -0.3	rs ≥ -0.3 to < -0.5	rs < -0.5	rs < 0.3	rs ≥ 0.3/ to < 0.5	rs ≥ 0.5													

n=10134
**p<0.001

248

249 The principal component analysis further grouped the ecosystem services into mutually beneficial or
 250 exclusive bundles. Three axes were selected from the scree plot (Appendix 2, Figure A2.11), based on
 251 the eigenvalues ≥ 1 , corresponding to three ecosystem service bundles (Table 3 & graphically:
 252 Appendix 2, Figure A2.12) explaining 64.9 % of variation based on loading of 0.4. Bundle 1 explains
 253 26.1% of the variance and includes flood mitigation, avoided erosion, pollination, and carbon storage
 254 that are in a synergy, distinctly trading off with crop production and water recharge (Table 3 &
 255 graphically: Appendix 2, Figure A2.12). Bundle 2 explains 21.3% of the variance and includes
 256 nutrient retention N & P and Flood Mitigation. And bundle 3 explained 17.5% of the variance and
 257 includes the two cultural ecosystem services. Interestingly, flood mitigation features in both bundles 1
 258 and 2. The correlations in Table 2 visual analysis of the PCA component plot (Figure Appendix 2:
 259 A2.12) also suggest crop production and water recharge are somewhat bundled.

260

261 **Table 3:** Results from the principal component analysis for ecosystem services showing first three factors

		Rotated Components		
		1	2	3
		<i>(26.1% variance)</i>	<i>(21.3% variance)</i>	<i>(17.5% variance)</i>
263	Nutrient Retention P	-0.048	0.956	-0.001
	Nutrient Retention N	-0.044	0.955	0.005
264	Flood Mitigation	0.507	0.592	-0.087
	Avoided Erosion	0.478	0.004	0.037
	Water Recharge	-0.608	0.128	-0.100
265	Potential Pollination	0.675	0.182	0.143
	Crop Production	-0.732	0.118	0.052
	Carbon Storage	0.670	0.193	-0.025
266	Recreation	0.068	-0.020	0.966
	Connection with Nature	0.063	-0.015	0.965
267	Varimax with Kaiser Normalization. *Factor loadings \geq 0.40 are shown in bold			

268

269 **3.2 Ecosystem Service Multifunctionality**

270 The aggregation of the individual ecosystem services into multifunctionality indices are shown in
 271 Figure 4. Here multifunctionality is represented as total ecosystem services supply (4a) and Simpson’s
 272 Reciprocal Index (diversity) (4b). Whereas, both representations of multifunctionality show
 273 comparable spatial distribution and heterogeneity in multifunctionality, by accounting for evenness of
 274 ecosystem service through Simpson’s Reciprocal Index, Figure 4a shows greater spatial heterogeneity
 275 and contrasts in multifunctionality especially at the higher and lower value ranges. Simpson-
 276 Reciprocal scores were on average higher than aggregated ecosystem service supply.
 277 Multifunctionality scores (Simpson-Reciprocal index) in the Green Belt ranged from 2.04 to 7.32 (out
 278 of a possible range of 1-10) with the mean multifunctionality score 4.482 (sd:0.64). Total ecosystem
 279 service supply ranged from 0.21-6.64 (out of a possible range of 0-10) with the mean total ecosystem
 280 service supply 3.49 (sd: 0.69).

281 Figure 4 shows clustering of areas of high and lower multifunctionality. Statistically significant
 282 “hotspots” and “colds spots” of ecosystem service multifunctionality (Simpson-Reciprocal Index)
 283 were confirmed to exist in the Green Belt as shown in Figure 5. Notably, many of the hotspots were
 284 found close to the urban edge of the Green Belt in the “green wedges” as well as discrete habitat

285 patches such as woodland. Cold spots are more variedly distributed in the landscape, with far fewer
286 coldspots close to the urban edge. The presence of cold spots on the coastal areas of Green Belt is
287 likely the result of no data areas generated from the two InVEST nutrient model outputs.

288

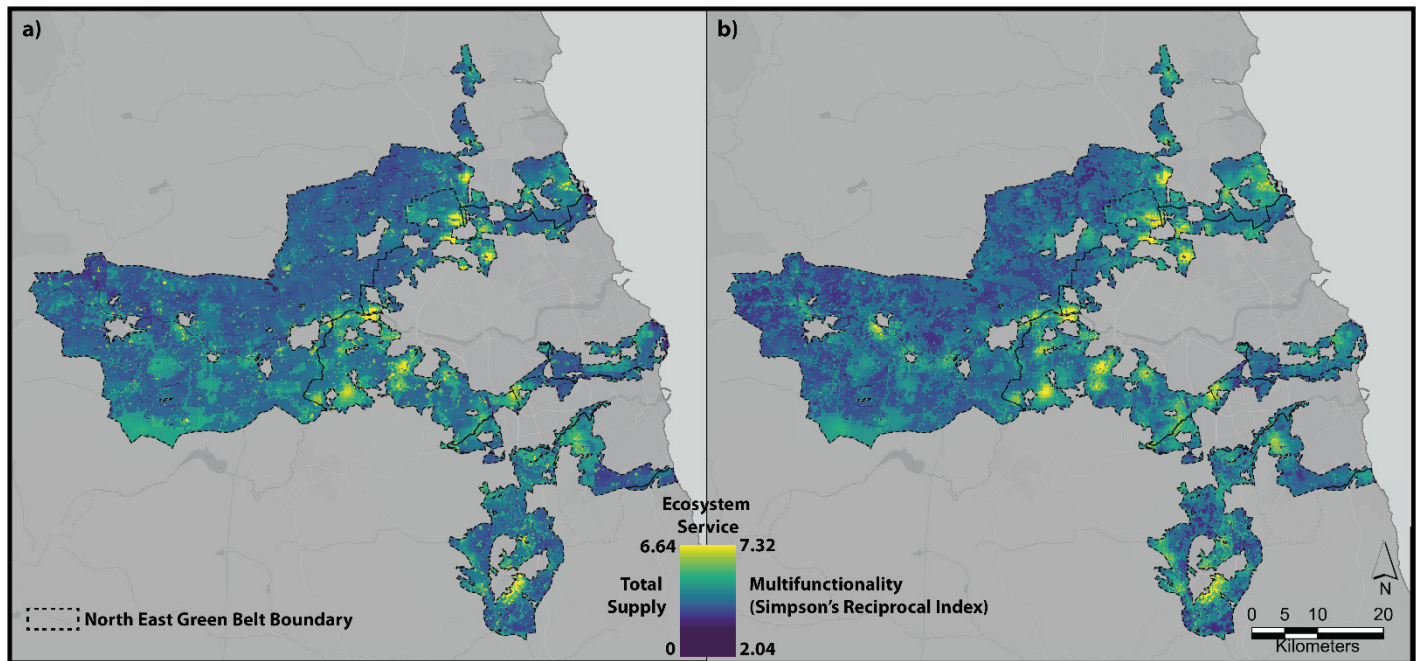


Figure 4: Ecosystem Service Multifunctionality in the North-East Green Belt represented as a) total ecosystem service supply and b) Simpson's Reciprocal Index (diversity). For both aggregations the possible maximum score is 10.

289

290 The spider diagrams in Figure 5 show the proportion of hot and cold spots per the five dominate land
291 use land covers in the Green Belt. The results show that ecosystem service multifunctionality hotspots
292 were significantly located in areas of broadleaved woodland (42%, z-score=6.77) and coniferous
293 woodland (11%, z-score=2.08), when compared to the actual land use land cover of the Green Belt.
294 Whereas arable (19%, z-score= -5.58) and improved grassland (16%, z-score= - 3.26) areas had
295 notable hotspots, they had significantly lower amounts than their proportionate coverage. Ecosystem
296 service multifunctionality cold spots were significantly located in areas of improved grassland (54%,
297 z-score=5.96). Whereas a notable proportion of cold spots were in arable land (36%) this was not
298 significant compared to the actual land cover. Deciduous woodland had significantly less (1.8%, z-
299 score= -3.86) cold spots.

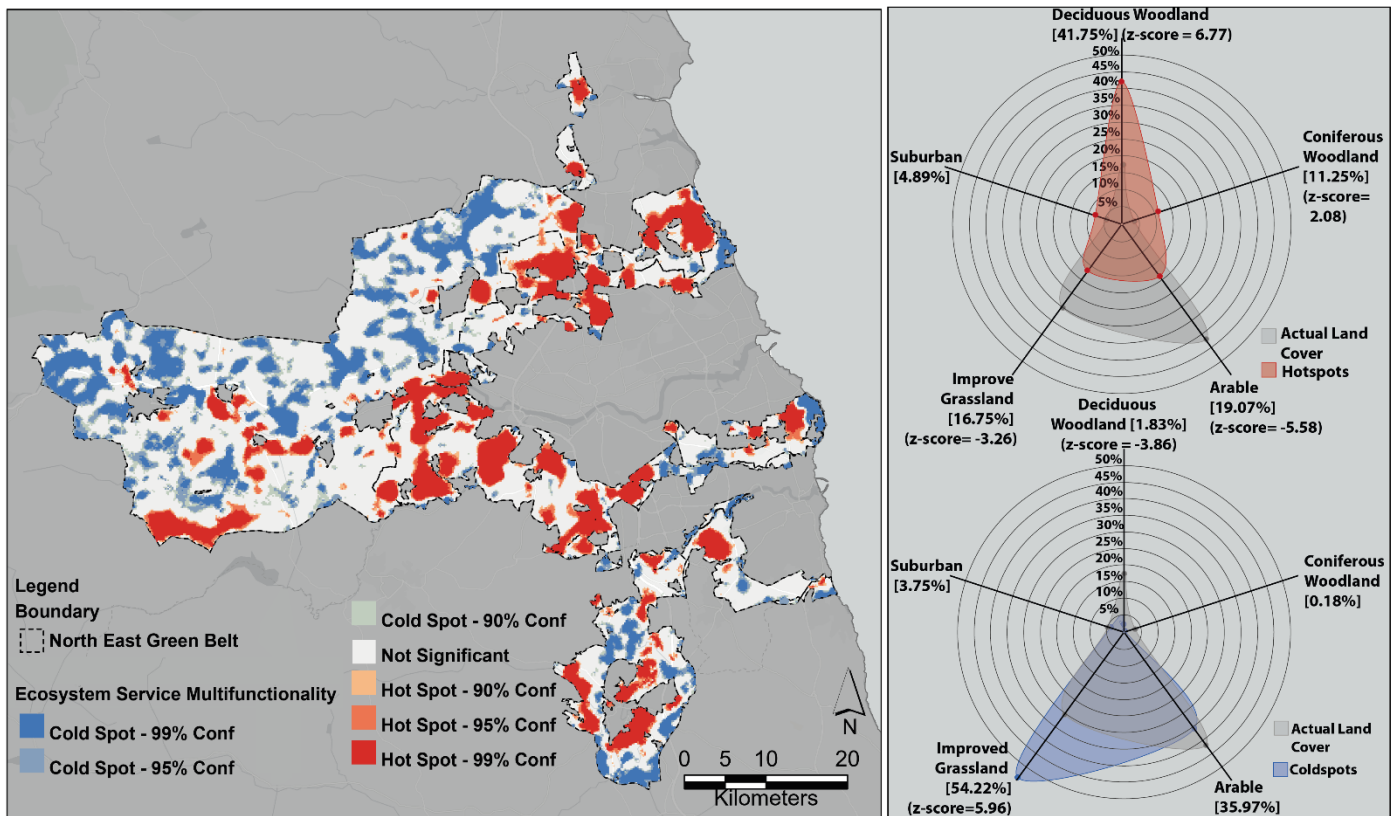


Figure 5: Statistically significant ecosystem service multifunctionality hotspots and cold spots (Getis-Ord G_i^*). Spider diagrams show distribution of hotspots and cold spots per top five land-use land cover classes compared. Significant z-scores are also shown indicating percentage is significant compared to proportionate total land cover in the Green Belt ($z\text{-scores} \geq 1.96 \leq -1.96$ ($\alpha = 0.05$))

301

302 **3.3 Planning & multifunctionality**

303 The results of the overlap analysis with allocated Green Belt sites in the local authorities of Gateshead
 304 and Durham are shown in Figure 6 below. Most sites currently allocated for development in the two
 305 local authorities local development plans are above the mean Green Belt value for ecosystem service
 306 multifunctionality and supply, resulting in a potential net loss in ecosystem service benefits. Notably,
 307 three sites (D4, D5 & G5) are in areas of high ecosystem service multifunctionality. Durham has
 308 much larger development proposals in its Green Belt, including two urban extensions (D1 & D2),
 309 both close to the mean level of multifunctionality, but both slightly below mean supply. To support
 310 future decision making in the region and policy approaches to Green Belt by local authorities in the
 311 region, outputs were produced in 1.5x1.5km and 250x250m grids (Appendix 2, Figure A2.13-A2.14)
 312 allowing for consideration of multifunctionality at the site and landscape scales.

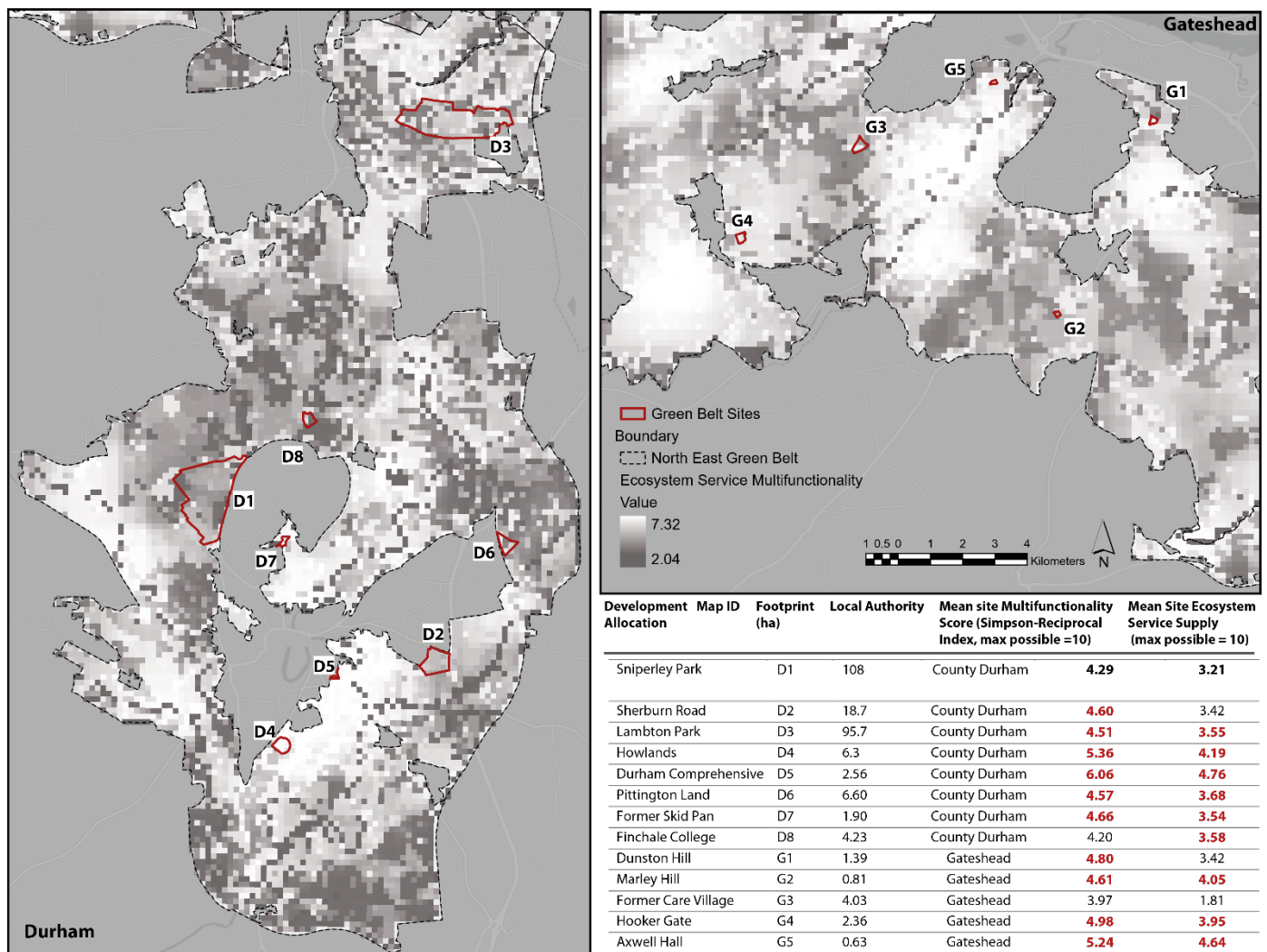


Figure 6: Ecosystem Service supply & multifunctionality scores for allocated Green Belt sites in Development Plans. Scores shaded in red show values above the overall mean scores for the Green Belt.

314

315 **4 Discussion**

316 This research shows that contrary to some prevalent populist discourse, Green Belts in England can
 317 and do provide multiple benefits to people when studied through ecosystem services and
 318 multifunctionality lenses. Importantly, through these lenses not all areas of Green Belt are the same,
 319 with notable contrasts spatially in the supply of individual ecosystem services and multifunctionality.
 320 Therefore, the results present compelling evidence that Green Belt should be seen as strategic green
 321 infrastructure opportunity spaces to meet multiple land-use demands. More broadly, our results
 322 extends the international evidence-base on the holistic benefits of Green Belt landscapes beyond

323 growth management (Ruiz-Sandoval et al., 2019; Zepp, 2018), both in terms of number and type of
324 ecosystem services, as well as geographically. Methodologically, the study also further demonstrates
325 the merits of diversity indices, specifically Simpsons Reciprocal Index in mapping ecosystem service
326 multifunctionality (Hölting, Jacobs, et al., 2019; Stürck & Verburg, 2017) and their application to a
327 planning context. Drawing on the original research questions and wider literature the results are
328 discussed in terms of their interdisciplinary policy implications and wider applicability to ecosystem
329 service multifunctionality and peri-urban landscapes.

330 **4.1 Green Belts – planning, policy and practice implications**

331 The heterogeneity in the levels of ecosystem service multifunctionality demonstrates that not all
332 Green Belt is the same. Therefore, there is a need for more flexible place-based policy responses,
333 which account for variation, as opposed to the current one-size-fits-all approach to Green Belt policies
334 regionally and nationally (Amati & Taylor, 2010). The importance of adapting UGMP approaches to
335 local contexts has been shown in Green Belts in China which largely failed due to not adapting to
336 local governance frameworks (Sun et al., 2021). Thus, any evolution of Green Belt policy to explicitly
337 support multifunctionality needs to be flexible enough to adapt to differing ecosystem service
338 priorities spatially, and differing governance mechanisms such as market-based interventions.

339 In the English context, the results provide key evidence supporting the legitimacy of secondary Green
340 Belt policies, which to date are insufficient in many development plans (Kirby & Scott, 2023).
341 Specifically, our presented approach can help identify deficits in supply of some ecosystem services
342 and support the need for natural environment and Green Belt policies to be more joined up, which was
343 found to be a barrier by Kirby & Scott (2023). Equally, our results illustrate how opportunity areas
344 could be identified in Green Belts where development could catalyse ecosystem services provision
345 through incorporation of green infrastructure and nature-based interventions in development design.
346 The importance of this is shown in the overlap of development allocation with multifunctionality
347 (Figure 6), which reveals that proposals do not avoid multifunctional hotspots. Thus, the results
348 support the need for more holistic policy responses which account for functionality, beyond
349 commonly applied proxies such as biodiversity (Spyra et al., 2020).

350 In planning, ecosystem service information has mainly been used to raise awareness of the benefits
351 from nature but as a concept it is not fully mainstreamed in planning policy (Wei & Zhan, 2023),
352 leading to important questions over how to operationalise results such ours. Here, working with
353 planners at the offset of the research process is particularly important as Salata et al. (2020)
354 demonstrated through operationalising ecosystem services in an Italian development plan. Though
355 the stakeholder steering group our results are being implemented in an emerging green infrastructure
356 strategy for the study region, highlighting the value of green infrastructure as a hook (Kirby & Scott,
357 2023; Korskou et al., 2023) and involving stakeholders (Scott et al., 2021). Furthermore, policies may
358 benefit from a participatory prioritisation of ecosystem services to inform policy direction and the in
359 demand ecosystem services, which have been shown to be important and varied locally (Filyushkina
360 et al., 2022; Hölting et al., 2020). Moreover, our results suggest that contrary to pursuing urban
361 growth from the urban edge (Mace, 2018), where some of the most multifunctional areas were found
362 development may be more suited to away from the urban edge. Here concepts such as “new towns⁴”
363 which advocate meeting development through large development projects away from the urban edge,
364 may be more appropriate, if informed by holistic evidence bases. For example, the use of hotspot
365 analysis effectively demonstrates areas of high ecosystem service multifunctionality which can be
366 understood by stakeholders and decision-makers without technical knowledge (Salata et al., 2020).
367 Though exact patterns of multifunctionality cannot be applied to other peri-urban contexts, the study
368 illustrates the importance of more holistic peri-urban planning and the methods used here provides a
369 replicable approach to working towards this.

370 Green Belt is a political and socially contentious designation from local to global (Kirby et al., 2023).
371 In England, politicians have suggested allowing the development in the Green Belt in “land of poor
372 quality”. However, as our results illustrate to objectively understand quality, socio-ecological benefits
373 need to be considered. Therefore, there is a need for quantifying environmental and social benefits in
374 Green Belts to identify areas of low and high ecosystem services supply. Without such evidence there

⁴ The “new towns” concept emerged in the 1940s in England, and refers to large scale and state-led planned development of new towns away from existing urban areas in an attempt to relocate growth (TCPA, 2014)

375 is a danger that ecosystem services would be lost. This situation is not confined to English Green
376 Belts, but is experienced in peri-urban regions internationally, such as development proposal in Green
377 Belts in Ontario Canada, which unlike in English have formal goals to promote ecosystem services
378 (Macdonald et al., 2021), showing the wider international applicability of the results and approach,
379 but also the vulnerability of nature when balancing land-use pressure and ecosystem services
380 (Hedblom et al., 2017). However, as shown in the Netherlands, there can be a disconnect between
381 multifunctional and perceived multifunctionality, (Filyushkina et al., 2022), meaning people may
382 contest protecting land if they don't understand its wider benefits. Therefore, communicating benefits
383 should not only be limited to policy makers, but involve the wider public at the outset of plan-making
384 processes. In this context, the partnerships approach which includes the public, planners and scientists
385 may be important for social learning (Scott et al., 2018).

386 **4.2 Managing trade-offs and synergies in the peri-urban**

387 Of all the ecosystem services assessed, crop production had the most trade-offs, especially with
388 regulating services. Arable land and improved grassland⁵ also had the most of the multifunctionality
389 cold-spots. As both of these land cover types are under agricultural land use this is not unexpected,
390 but notable given this is the main land use in English Green Belts. This finding is comparable to other
391 studies which identified similar trade-offs internationally (i.e. Turner et al., 2014), including peri-
392 urban landscape (Sylla et al., 2020), therefore extending these findings to a new geographical and
393 policy context. Additionally, these trade-offs are noteworthy given that several studies have shown
394 that whilst people perceive crop production to be an important ecosystem service, there is a lower
395 preference of these ecosystem services compared to others (Martín-López et al., 2014), including in
396 multifunctional peri-urban areas (Filyushkina et al., 2022). Given that current peri-urban governance
397 has failed to identify and address such trade-offs (Spyra et al., 2020), including through Green Belt
398 policy (Kirby & Scott, 2023), there is an opportunity for wider stakeholder and public engagement in

⁵ Contrary to its name “improved grassland” indicates agriculturally improved grassland which is distinguished from semi-natural grasslands by higher productivity due to intensive agricultural practices such as drainage and applications of fertilisers (Marston et al., 2023)

399 the management of multifunctional landscapes (Hölting et al., 2020) to understand opportunities to
400 increase ecosystem service multifunctionality, through policy, especially given Green Belts
401 contention (Dockerill & Sturzaker, 2020).

402 The ecosystem services were mostly bundled in terms of ecosystem service category, namely
403 regulating and cultural services. Notably, flood regulation was shown to be largely important,
404 featuring in bundles 1 & 2 indicated important spatial synergies. Bundles could be used to target
405 design of interventions such as natural flood management provide multiple benefits that are not
406 currently mutually beneficial. For example, questions such as “how can flood reduction and nutrient
407 retention interventions in the Green Belt also contribute to additional benefits such as cultural
408 ecosystem services” which did not feature in the same bundle, nor had moderate or strong bivariate
409 correlations. This is important given nature-based interventions are often driven by a primary
410 ecosystem service, for example natural flood management (Walsh et al., 2022). Here mapping of
411 individual ecosystem services combined with knowledge on current bundles may provide important
412 knowledge to help spatially prioritise interventions and associated co-benefits currently not widely
413 found together (Bush & Doyon, 2019).

414 Interestingly, water recharge and crop production were in a trade-off with the regulating services
415 featured in bundle 1, including flood mitigation. Whilst crop production has previously been found in
416 a trade-off with other regulating services (Turner et al., 2014), the synergy with water recharge was
417 not expected. We justify the moderate synergy between crop production and water recharge, as
418 indicated by moderate correlation, by good permeability of soils under a significant portion of arable
419 use within the study area. Moreover, the trade-off between water recharge and the regulating services
420 in bundle 1 could be the consequence of a greater importance of soil properties compared to
421 pollination, carbon storage and avoided erosion, which are more dependent on vegetation
422 characteristics (Natural Capital Project, 2022). Moreover, the lack of synergy between water recharge
423 and flood mitigation could be due to the differences between the services they estimate and
424 underlying models. The InVEST Seasonal Water Yield model calculates water recharge as a function
425 of the wider hydrological process including annual precipitation and evapotranspiration as well as

426 topography data, whereas the InVEST flood risk mitigation model is primarily dependent on different
427 soil properties and a short storm event, thereby the latter is more dependent on saturation
428 characteristics of the soils and not evapotranspiration from vegetation. This example points to the
429 importance of bespoke ecosystem services assessments for any given area, as bundles may change
430 with the different biophysical characteristics of landscapes. It is also important to understand which
431 biophysical processes are represented by available modelling tools to aid interpretation of the
432 resulting bundles in support decisions making.

433 So far, the results have primarily been discussed in the context of planning policy. However as
434 landscapes, Green Belts are also indirectly governed through natural resources and environmental
435 policies, with peri-urban areas often the incidental byproduct of the two policy areas (Shaw et al.,
436 2020) which are disintegrated and operating in silos (Scott et al., 2018). Our results show that as well
437 as ecosystem service multifunctionality, notable parts of the Green Belt have low multifunctionality,
438 including improved grasslands and arable land, or are dominated by a single high supplying service
439 i.e., crop production. Kirby, Scott & Walsh (2023) suggests that given the unique functional qualities
440 and processes in peri-urban landscape (Shaw et al., 2020; Spyra et al., 2020) more area specific agri-
441 environment programmes are needed which account for this. Our results reinforce this further and
442 such an approach may not only allow the targeting of ecosystem service bundles in the peri-urban but
443 also spatial prioritisation in areas of low supply.

444 **4.3 Limitations**

445 Ecosystem services models are sensitive to input data and model complexity (Sharps et al., 2017).
446 One of potential limitations of this study is reliance on a single ecosystem services model to represent
447 each service, which could have affected the accuracy of the values used in the assessment. Ensemble
448 modelling, i.e., using more than one set of models to represent a given service, could gain accuracy
449 and more certainty behind the modelled values (Willcock et al., 2020). Consequently, the results
450 obtained from single-model studies are not meant to be prescriptive, but informative and
451 complementary to other evidence bases. Likewise, participatory generated data used to map cultural
452 ecosystem services has inherent limitations due to sampling biases which come from survey data

453 (Brown, 2017). Finally, an obvious caveat in terms of ecosystem service multifunctionality is that the
454 results are largely dependent on the number and range of services quantified. Whereas we quantified a
455 range of services from across the three categorisations (regulating, provisioning and cultural) there
456 was a greater number of regulating services. In other Green Belts or peri-urban contexts other
457 ecosystem service may be more appropriate or in demand. Future work needs to ensure that the
458 ecosystem services considered for decision-making are representative of the potential ecosystem
459 services that an area can supply to avoid under or over estimation of multifunctionality of an area.
460 Finally, the spatial resolution of the ecosystem service outputs was changed in the analysis, most
461 notably to create “stakeholder requested” reflecting site and landscape scales (section 2.3.2). This
462 reduced “extremes values” in the data, resulting in underestimation of areas of high ecosystem
463 services. These limitations need communicating with stakeholders, especially given the importance of
464 operationalising ecosystem services in planning.

465 **5 Conclusions**

466 This study has demonstrated for the first time that English Green Belts, are in part, able to live up to
467 their “green” name and provide notable and valued ecosystem services to urban populations which
468 they encircle. Within our case study hotspots of ecosystem service multifunctionality were found
469 close to the urban edge and in areas of woodland. At a time where peri-urban landscapes
470 internationally face increased urban-centric pressures on land-use for development, this new evidence
471 is important for the development and application of more holistic policy responses in these
472 contentious and dynamic landscapes. Especially though development plans and through important
473 hooks such as Green Infrastructure. Of the ten ecosystem services quantified, there was notable
474 variation in the supply spatially as well as trade-offs between them, with crop production and
475 corresponding arable and improved grasslands found to trade-off most with other ecosystem services.
476 The results point to the importance of spatially quantifying ecosystem services regionally, and the
477 need for prioritisation of ecosystem services, for which spatial and land-use planning is ideally placed.
478 However, policy mainstreaming is still needed to join up planning approaches if Green Belts are to be
479 realised as an opportunity space for people, climate and nature.

480

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