

1 **Data-Driven Simulation Approach for Short-Term Planning of** 2 **Winter Highway Maintenance Operations**

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12 **ABSTRACT**

13 Winter highway maintenance operations are performed to ensure safe driving conditions
14 during snow events. However, variability in truck speeds and changing weather conditions limit
15 the ability of practitioners to optimize plans in a timely manner. The time required to manually
16 adjust plans in response to actual conditions prevents modifications from being completed and
17 applied during the operation phase. To overcome this challenge, a data-driven, near real-time
18 simulation approach to assist short-term planning of winter highway maintenance operations is
19 proposed. The approach integrates dynamic project data to quickly (1) predict required truck fleet
20 size for upcoming operations, (2) devise operation schedules, and (3) recommend operation routes.
21 Functionality and validity of the proposed approach was demonstrated using both an illustrative
22 example and a real case study. The proposed approach was found capable of rapidly generating
23 operation plans that were more efficient than current practice.

24 **INTRODUCTION**

25 Many studies have demonstrated that snow precipitation on roads significantly increases
26 accident risk (Andrey et al. 2001; Eisenberg and Warner 2005; Mills et al. 2011). While winter
27 road maintenance operations have been shown to reduce accident rates by maintaining safe driving
28 conditions (Usman et al. 2010; Usman et al. 2012a; Usman et al. 2012b), a large amount of
29 equipment is usually required to complete operations in a timely manner. Indeed, the annual cost
30 of winter road maintenance in Canada is approximately \$1 billion (Andrey et al. 2001), and is over
31 \$2 billion in the United States (Transportation Research Board and National Research Council
32 2004). Given these extensive budgets, optimization of operational efficiency can result in
33 substantial cost savings for many municipalities.

34 Winter road maintenance planning can be categorized into four levels (Perrier et al. 2006):
35 strategic, tactical, operational, and real-time. Several decision-support systems have been designed
36 to address long-term strategic and tactical planning. These include studies examining the partitioning
37 of maintenance areas, selection of vehicle depot locations, and fleet assignments to depots. In
38 contrast, decision-support approaches focusing on short-term operational and real-time planning
39 remain relatively unexplored. In fact, many practitioners in the winter highway maintenance industry
40 continue to plan short-term operation schedules manually based on weather forecasts. This is a time-
41 intensive process that cannot be performed in the time-frame necessary to exert real-time operational
42 changes. Despite the best efforts of practitioners, plans created based on weather forecasts often
43 result in the over- or under-allocation of resources to depots. Also, truck drivers often modify the
44 prescribed operation route in response to actual road conditions. Since the route taken relies heavily
45 on the subjective experience of individual drivers, inefficiency often results.

46 In winter road maintenance operations, variability in snow impact areas can cause changes in
47 maintenance demand (Hajibabai and Ouyang 2016). Therefore, the consideration of regional
48 weather events is particularly important. Due to the large geographical region of highway networks,
49 a snow event may only affect part of a depot's service area. The use of predetermined routes and/or
50 fleet sizes for all snow events can result in low operational efficiency. While decision-support
51 systems for planning winter road maintenance operations have been developed, these systems are
52 limited by their inability to simultaneously consider variations in weather, operation routes, and
53 vehicle speed at an event-specific level. As such, they are unable to optimize the operation in
54 response to specific snow events, which is essential for short-term planning of winter highway
55 maintenance operations.

56 To enhance short-term planning of winter highway maintenance operations, a data-driven, near
57 real-time simulation framework is proposed. The proposed approach uses road network
58 information, weather data, and truck speeds as primary inputs to generate a short-term operation
59 plan, which includes a forecast of the required fleet size range, recommended operation routes,
60 and an operation schedule detailing the anticipated departure and return times of the maintenance
61 trucks. By implementing a near real-time simulation approach, this model can be dynamically
62 updated with actual weather and vehicle tracking data (i.e., GPS) to reflect the latest changes in
63 operations. This is in contrast to conventional simulation analyses that only use historical statistical
64 data and, therefore, cannot be dynamically updated (Vahdatikhaki and Hammad 2014).

65 The current model is referred to as "near real-time," as a short delay is required to accumulate
66 the amount of data required to accurately reflect current operation progress, and time is needed to
67 process simulation calculations. While results are not generated and updated instantaneously, new
68 results can be obtained within several minutes. By allowing the operational plan to be adjusted in

69 response to changes in weather, operation progress, or other sudden deviations, the near real-time
70 simulation approach proposed here is expected to result in increased model accuracy and,
71 consequently, in improved operational planning.

72 **WINTER HIGHWAY MAINTENANCE OPERATIONS**

73 While winter highway maintenance operations are typically accomplished by plowing or
74 blowing the snow to the side of the road, maintenance operations may also include spreading sand
75 or salt on the road. Note that all maintenance activities are hereafter referred to as “plowing.”

76 Prioritization of winter road maintenance is determined by a road’s level of service (LOS) class.
77 Roads in the service area are categorized into different classes depending on their level of
78 importance, and service requirements for each class are then specified. The LOS is usually defined
79 by the operation contract. In this model, LOS classes are defined by two components:

- 80 1. *Trigger amount*, which is the amount of snow that can accumulate on a road before it
81 must be maintained.
- 82 2. *Maximum reaction time*, which is the time within which maintenance operations must
83 be initiated once the trigger amount is reached.

84 Operation planning is essential for optimizing the balance between the quality and cost of
85 winter road maintenance operations. Improving operational efficiency through optimized
86 operation planning allows costs to be reduced while ensuring that LOS requirements are met. The
87 total travel distance of trucks can be decreased by reducing unnecessary plowing and the amount
88 of deadhead travel (i.e., truck traveling without plowing). These modifications can, in turn,
89 improve operational efficiency and lower the costs associated with operating maintenance trucks.

90 Winter highway maintenance operations can be optimized from various perspectives. First,
91 maintenance routes can be optimized to reduce operation effort by decreasing the amount of time

92 a truck is traveling without performing maintenance operations. This is often referred to in
93 literature as a vehicle routing problem. Second, with a known set of maintenance routes, operation
94 schedules can be optimized by selecting appropriate departure times for different operation routes.
95 This is known as an operation scheduling problem, and it focuses on minimizing the operation
96 effort while ensuring minimum LOS requirements are met (Fu et al. 2009). Finally, and known as
97 a fleet sizing problem, the number of trucks assigned to a depot can be optimized to ensure
98 sufficient trucks are available during the operation while reducing the number of idle trucks.

99 A discussion of the current state-of-the-art for fleet sizing, operation scheduling, and vehicle
100 routing problems, as well as the consideration of weather uncertainty and operation variability in
101 winter road maintenance operations, is detailed in the Literature Review section that follows.

102 **LITERATURE REVIEW**

103 **Fleet sizing problem in winter road maintenance operations**

104 Several studies have been conducted to determine required fleet sizes for winter road
105 maintenance operations. Chien et al. (2013) developed a mathematical model that considered the
106 impact of weather and traffic on vehicle speed to determine the required fleet size. In this model,
107 fleet size was calculated using the total road surface area and the plowing area per plow. However,
108 deadheading (i.e., vehicle traveling without performing maintenance work) from the depot to the
109 working road was overlooked, and the model only considered worst-case weather scenarios.
110 Therefore, the model cannot be used to forecast required fleet sizes for specific snow events.

111 Jafari et al. (2018) proposed a simulation model to determine the optimal fleet size based on
112 the maximum reaction time. Each road was assigned to a weather station, and if the weather station
113 detected snow precipitation, trucks were dispatched from the depot to predefined routes. The
114 maximum reaction time was evaluated for each route, and the route with the minimum reaction

115 time was selected. While weather data were incorporated into this model, they were only used to
116 determine the precipitation (i.e., snowing) status. The impact of different snow intensity and
117 uneven snow area distributions were neglected, which, as mentioned earlier, is not suitable for
118 winter highway maintenance planning.

119 **Operation scheduling for winter road maintenance operations**

120 In 2003, Mahoney and Myers proposed a decision-support tool for winter road maintenance
121 operations. This tool integrated multiple sub-systems, including a weather prediction system,
122 chemical concentration algorithms, and a road mobility index algorithm. User-defined
123 maintenance routes were input, and the model created recommended operation schedules for each
124 route. Later, in 2009, Fu et al. proposed a real-time optimization model to solve the operation
125 scheduling problem, which focused on maximizing the total service level across the road network
126 while minimizing the operation cost. Operation schedules were generated in consideration of fleet
127 size and level of service constraints.

128 Although these two models considered weather uncertainty in their calculations, both models
129 require the input of predefined routes and lack a function to optimize routes based on the road
130 network. Because of this, additional efforts are required to create routes specific to particular road
131 networks for implementation during actual operations. Another limitation is that the quality of the
132 model outputs is limited by the quality of the predefined routes.

133 **Vehicle routing problems in winter road maintenance operations**

134 Wang and Liu (2019) proposed a model to solve the resource location-allocation problem
135 together with the vehicle routing problem. This model used a tabu search algorithm whose aim
136 was to improve the “recovery ability” of the road network under snow events in consideration of
137 weather uncertainty. An optimization algorithm for vehicle routing during deicing salt spreading

138 operations in winter highway maintenance was proposed by Xie et al. (2013). The algorithm aimed
139 to minimize total driving distance while considering road network topology, vehicle capacity, and
140 load balance as constraints. The route optimization algorithms proposed in these two papers
141 focused on optimizing the route that covers the entire road network, but overlooked continuous
142 snow accumulation and uneven snow intensity occurring during snow events. Therefore, the
143 practicality of these models for planning winter highway maintenance operations is limited.

144 **Weather uncertainties in winter road maintenance operations**

145 In 1996, Wales and AbouRizk developed a simulation model to forecast the impact of weather
146 on construction schedules to assist with project planning. In this model, a first-order Markov chain
147 was used to model precipitation events. The precipitation amount was sampled from a distribution
148 function based on historical records, and neural networks were trained to predict productivity
149 based on weather conditions. Although useful for forecasting the long-term impact of weather on
150 operations, the approach is not suitable for short-time periods characteristic of specific snow events.

151 Hajibabai and Ouyang (2016) proposed a stochastic model that aimed to minimize operation
152 costs while maximizing service levels in winter road maintenance operations. In this model,
153 random maintenance tasks were generated across the road network to represent stochastic snow
154 events, and the cost for truck deadheading and repositioning was calculated. Notably, while this
155 model was capable of dynamically scheduling operations based on new tasks, the tasks were
156 generated randomly and independently of weather data. As such, the problem of how to determine
157 and schedule tasks based on actual weather information remained unsolved.

158 **Near real-time simulation**

159 Due to the dynamic nature of weather, plans derived using weather forecasts may not be
160 representative of actual conditions. However, near real-time simulation can be used to dynamically

161 update model results (Vahdatikhaki and Hammad 2014). In 2014, Vahdatikhaki and Hammad
162 developed a near real-time simulation model for modeling earthmoving projects using location
163 tracking technologies. The model continuously simulated equipment motion and environmental
164 factors. When actual site data differed from model results, the simulation was updated. This
165 research noted that updating models too often can make results difficult to apply in practice.
166 Therefore, determining an appropriate update interval for near real-time simulation is important.

167 **Research gaps**

168 Various approaches have been developed to improve the planning of winter road maintenance
169 operations. While fleet sizing, operation scheduling, vehicle routing, and uncertainties in weather
170 have been explored, most previously-developed approaches cannot dynamically adjust
171 optimization results during the operation phase, resulting in poor operational efficiency.
172 Additionally, most models lack certain components required for implementation in industry, and
173 do not address these problems in a unified model. Specific research gaps and limitations of the
174 current state-of-the-art are listed as follows:

- 175 • Near real-time simulation approaches for dynamic planning, which focus on adjusting
176 schedules based on operation progress and changing weather conditions, have not been
177 thoroughly explored and implemented for winter highway maintenance operations.
- 178 • Many approaches overlook weather uncertainty. Uneven distribution of snow events
179 and continuous accumulation of snow are not considered.
- 180 • Many operation scheduling approaches use predefined routes. This requires extra effort
181 prior to implementation and may limit output performance.

182 **METHODOLOGY**

183 To address these challenges, a unified, data-driven, near real-time simulation approach is
184 proposed. The approach is capable of providing decision-makers with dynamic operation plans in
185 response to actual site conditions. To achieve this, several advances to the existing state-of-the-art
186 are proposed:

- 187 • Near real-time simulation is used to dynamically adjust the operation plan based on
188 actual snow precipitation levels and truck GPS data.
- 189 • Inverse distance weighting interpolation is used to estimate and account for uneven
190 snow accumulation on roads.
- 191 • Operation routes are optimized and corresponding operation tasks are scheduled based
192 on snow accumulation amounts.

193 The proposed simulation-based framework consists of four components:

- 194 1. *Snow accumulation component*, which uses weather data and road network information
195 to estimate the amount of accumulated snow on the road network.
- 196 2. *Operation scheduling and simulation component*, which optimizes the route and creates
197 the operation schedule based on snow accumulation amounts and LOS requirements.
- 198 3. *Result output component*, which visualizes the simulation results and creates outputs.
199 Outputs consist of a fleet size forecast, an optimized operation schedule, and operation
200 routes.
- 201 4. *Near real-time update component*, which uses actual weather observations and GPS
202 tracking data to dynamically update the model during the operation phase.

203 The framework is summarized in Figure 1, and the functionality of each module is detailed in
204 the following subsections:

205 **Snow accumulation component**

206 First, the model uses weather data and road network information to estimate the amount of
207 snow that is accumulating on each lane of the maintenance area. The model assumes that trucks
208 always plow the lanes they pass if snow has accumulated. One lane of a multi-lane road may be
209 plowed before it reaches its trigger amount by a truck that is traveling to plow another road.
210 Although the snow accumulation rate is the same for all lanes within the road, the amount of snow
211 that will accumulate on each lane can, therefore, differ. Consequently, the model is designed to
212 individually forecast snow accumulation on each lane of a road. Weather data can be either a
213 forecast of future conditions for pre-operation planning or actual data obtained during the operation
214 phase for near real-time updating.

215 *Snow water equivalent*

216 Weather stations usually measure snow precipitation using a snow water equivalent value,
217 which can be converted to snow depth on roads using the snow density value. Previous studies
218 have found that snow density can change over time and vary by location (Williams 1956). The
219 typical density of new snow is between 50 to 70 kg/m³ and increases to 200 to 300 kg/m³ when
220 snow has settled (Paterson 1994). Equation 1 shows the relationship between the snow water
221 equivalent value, snow density, and snow depth (Liang and Wang 2020):

222
$$W = \frac{\rho_s d}{\rho_w} \quad (1)$$

223 where W is the snow water equivalent value; ρ_s is the density of snow; ρ_w is the density of liquid
224 water; and d is the snow depth.

225 This model allows the user to define the snow density value used in the calculation. A common
226 approach is to assume the snow density is 100 kg/m³. Then, based on the 1000 kg/m³ water density,

227 the snow depth can be calculated using the 10-to-1 rule (Roebber et al. 2003). In this model, if the
228 snow density is not given by the user, a default value of 100 kg/m³ will be used.

229 *Inverse distance weighting interpolation*

230 Typically, weather data are only collected at weather stations. Stations are usually spaced far
231 apart, which it makes it difficult to estimate road conditions between the stations. To account for
232 this, the inverse distance weighting interpolation method is applied. Based on Tobler’s first law,
233 which states that “everything is related to everything else, but near things are more related than
234 distant things” (Tobler 1970), this method functions to reduce the weight of a data point as its
235 distance from the interpolated point is increased. It estimates the snow precipitation amount at a
236 given location using the following equation (Kalkhan 2011),

$$237 \quad \widehat{Z}_0 = \frac{\sum_{i=1}^n \frac{Z_i}{d_i^p}}{\sum_{i=1}^n \frac{1}{d_i^p}} \quad (2)$$

238 where \widehat{Z}_0 is the estimated value at a given location; Z_i is the observed value at point i ; d_i is the
239 distance between observation i and the given location; n is the number of neighboring data points
240 used to estimate the unknown location; and p is the power parameter. In this model, a value of 2
241 is used as the power parameter based on recommendations from previous precipitation
242 interpolation research (Chen et al. 2010; Xu et al. 2015).

243 *Snow accumulation amount*

244 The accumulated snow amount on each lane is individually calculated by summing the
245 accumulated snow amounts for each time interval. First, the entire road network area is divided
246 into equally-sized grids (here, 500 m²), and a snow precipitation rate is determined for each grid
247 by interpolating the precipitation rate at weather stations. Snow precipitation rates are then

248 overlaid on the road network to determine the rate for each road. All lanes belonging to a road
249 are assumed to have the same precipitation rate as the road. Finally, the precipitation amount is
250 calculated by multiplying the precipitation rate by the time interval; the accumulated snow amount
251 for each grid of a lane is calculated using Equation 1.

252 The road network used in this model is a weighted, non-directional graph, with weights used
253 to represent the length of a road, lines to represent the main highway roads, and nodes to represent
254 the intersections. All highways are assumed to be bidirectional, and trucks are able to make turns
255 in all directions at nodes. Ramp lengths, which are short compared to the length of maintenance
256 routes, are omitted from the road network. When overlaying the interpolated data onto the road
257 network, each line, which represents a road, can cross multiple grids. The snow precipitation rate
258 on different grids are calculated separately to ensure that the snow precipitation rate at different
259 locations along long roads are calculated and evaluated correctly.

260 **Operation scheduling and simulation component**

261 Operation tasks are created and scheduled according to the algorithm summarized in Figure 2.
262 In this model, a road consists of multiple lanes, and all lanes in a road are assumed to have the
263 same LOS class. After a lane reaches its trigger amount, maintenance operations must be initiated
264 within the maximum reaction time. Operation schedules are created based on the following
265 assumptions:

- 266 • The maintenance operation performed by a single truck removes all snow on the lane
267 that is driven, thereby restoring the lane to a satisfactory driving condition in one pass.
- 268 • Operations, including plowing, blowing, and spreading sand or salt, are combined as a
269 single maintenance operation that is referred to as “plowing.” Material limitation for
270 spreading sand or salt is incorporated as a limit in route length.

- 271 • When a truck plows a road with multiple lanes, it will plow the lane that has
- 272 accumulated the most snow.
- 273 • Trucks always plow the lane they pass if snow has accumulated.
- 274 • Trucks return to the depot following the reverse route from which they departed.

275 *Creation of operation tasks*

276 First, the model creates a base-accumulation. Unless otherwise specified, the model assumes
277 that no snow has accumulated on all lanes in the road network. Using the snow accumulation
278 amount determined previously, newly accumulated snow is added to the base-accumulation. Then,
279 the time required for each lane to reach its trigger amount, and the time to reach the maximum
280 reaction time is determined. Once a lane reaches its trigger amount (Time = T1), an operation task
281 is created.

282 *Selection of optimized route for an operation task*

283 After an operation task is created, the model updates the base-accumulation to include the
284 amount of snow that had accumulated until T1. Next, an optimized operation route, which consists
285 of the operations for a sequence of roads, is selected. Lanes are not specified in the output because
286 it is assumed that trucks always plow the lane with the most snow accumulated. Rather,
287 accumulation levels of lanes are used to determine priority of the roads when selecting routes.

288 Optimization of the operation route is based on four criteria:

- 289 1. Route length must be within the maximum operation distance, which is limited by the
290 storage capacity (for salt or sand) of the truck.
- 291 2. Priority is given to longer roads.
- 292 3. Priority is given to roads with lanes that have less amount of time remaining until their
293 maximum reaction time is reached.

294 4. A penalty is given to roads with no snow accumulated or that will not have snow
 295 accumulated after other previously-created tasks.

296 Simple paths in the road network, which do not have repeated vertices in the path (Sedgewick
 297 and Wayne 2011), are used as candidate paths for the operation. The candidate path is selected
 298 from all simple paths in the road network that begin at the depot node. The operation route begins
 299 from the depot, travels along the path to its ending vertex, and returns to the depot following the
 300 path in reverse. After returning to the depot, trucks are reloaded with material as required.

301 The objective function for route optimization is represented by Equations 3 through 5.
 302 Equation 3 determines the optimal route with the largest sum of priority factors on all roads in this
 303 route. Equation 4 limits the route length within the maximum operation distance. Equation 5
 304 calculates the priority factor of each road according to the four aforementioned criteria.

305 Maximize

$$306 \quad \sum_{i \in I} \sum_{j=1}^n P_{i,j} \quad (3)$$

307 Subject to

$$308 \quad \sum_{j=1}^n L_{i,j} \leq L_{max} \quad (4)$$

$$309 \quad P_{i,j} = \begin{cases} \frac{L_{i,j}}{\min\{T_{i,j,k}\}} & (\max\{S_{i,j,k}\} > 0) \\ -L_{i,j} & (\max\{S_{i,j,k}\} \leq 0) \end{cases} \quad (5)$$

310 where I is the candidate route set; n is the number of roads in route i ; $P_{i,j}$ is the priority factor
 311 of road j in route i ; $L_{i,j}$ is the length of road j in route i ; $T_{i,j,k}$ is the time from now to the maximum
 312 reaction time for lane k on road j in route i ; $S_{i,j,k}$ is the maximum snow accumulation on lane k on
 313 road j in route i ; and L_{max} is the maximum operation distance.

314 ***Operation task duration***

315 A discrete-event simulation approach is used to stochastically model task (i.e., route) durations.
316 Each time the operation duration for a task is calculated, multiple sub-tasks are created, with each
317 sub-task representing a one-time operation for a particular road in the route. Notably, the same
318 road assigned to another task is represented by different sub-tasks, as the start time, duration, and
319 the truck assigned to the task can differ. Precedence relationships between newly-created sub-tasks
320 are scheduled according to their route sequence.

321 The time that a truck spends on each sub-task is calculated by dividing the length of the road
322 by a randomly-sampled value from the truck speed distribution functions. Due to variations in
323 speed limits and traffic volumes, truck speed distributions cannot be used interchangeably between
324 different regions, and should be derived using local historical operation data or created by experts
325 based on their experience. Two types of speed distributions are input into the model. A *working*
326 *speed distribution*, which is the speed a truck travels while performing the maintenance task, and
327 a *deadheading speed distribution*, which is the speed when the truck is not performing maintenance
328 work. When a truck is traveling on a road where at least one lane in the traveling direction has
329 accumulated snow, the model will sample a random value from the working speed distribution. If
330 there is no snow accumulation, the model will sample from the deadheading speed distribution.
331 The impact of traffic queues resulting from multiple trucks arriving to or departing from the depot
332 at the same time is omitted from the speed distribution functions. Due to the staggered operation
333 schedule, trucks rarely depart from or arrive to the depot at the same time. As such, the duration
334 of any potential delays are minimal compared to the total duration of the operation.

335 Using the length of each road and the speed distribution functions, the total duration of the
336 operation task, and the durations of each sub-task, are then determined. The realization of the task
337 duration calculation process is presented as pseudo-code in Appendix A.

338 *Scheduling operation tasks*

339 After a route for the operation task is selected and its duration is determined, the task is
340 scheduled to ensure LOS requirements are met. In other words, for each road in the route, the truck
341 must arrive earlier than the maximum reaction time of the lane with the most snow accumulation.
342 To reduce the number of passes (i.e., times a lane is plowed), the model schedules the departure
343 of the truck as late as possible within the permitted reaction time, thereby allowing more snow to
344 accumulate. In this way, a greater amount of snow is removed per pass, thereby improving
345 operational efficiency.

346 Once a lane is plowed, its accumulation level returns to zero. For lanes that finish before T_1 ,
347 their base-accumulations are updated by the amount of snow that accumulates between the
348 operation finish time (Time = T_0) and T_1 , assuming that, at time T_0 , there is no snow on this lane.
349 Conversely, for lanes that finish after T_1 , their base-accumulations are updated as the negation of
350 the snow accumulated between T_1 and the operation finish time (Time = T_2). In this case, if new
351 snow accumulations are added to the base-accumulations, snow accumulation on these lanes will
352 become zero at T_2 , ensuring the correct calculation when these lanes reach their trigger amount
353 again. Using the updated base-accumulation, the model again calculates when each lane will reach
354 its trigger amount and repeats the process until trigger amounts are no longer reached during the
355 specified forecast time period. Then, an operation schedule, which consists of all tasks created in
356 this process, is derived.

357 ***Fleet size forecast***

358 After an operation schedule is created, the fleet size required to complete the operation is
359 determined by the number of concurrent tasks, as one truck is required to perform each
360 simultaneously-scheduled task. To account for uncertainties in truck speed, random deviates from
361 truck speed distributions are used to calculate the durations of each task. Therefore, task start times,
362 end times, and operations routes will differ each time the model is run. Monte Carlo simulation is
363 used to quantitatively represent the uncertainties in fleet size resulting from variations in truck
364 speed. Multiple operation schedules are generated by repeating the simulation for multiple
365 iterations. The number of tasks scheduled for the same time period may vary between simulation
366 iterations, thereby requiring a different number of trucks (i.e., fleet size) for different iterations of
367 the operation. An estimate of the required fleet size range is then obtained.

368 **Result output component**

369 The result output component is responsible for visualizing the simulation results. The first
370 output of the model is the fleet size forecast. This is presented as a box and whisker plot that
371 visualizes the range of fleet sizes required throughout the duration of the forecast time period.
372 Notably, the model allows users to define the time interval of the forecast. The second output of
373 the model is a recommended operation plan. This is visualized as a Gantt chart showing the
374 departure time, end time, and route IDs for each operation task. This schedule is generated using
375 the average truck speed for working and deadheading or an expected speed provided by the user.
376 Operation routes are generated separately based on road network topology.

377 **Near real-time updating component**

378 Since the fleet size forecast and the operation plan are created based on weather forecasts in
379 the pre-operation phase, accuracy of these results may be reduced when actual weather conditions

380 differ or when delays caused by traffic congestion occur during the operation phase. To improve
381 the accuracy and practicality of the fleet size forecast and operation plan, a function allowing users
382 to update the model in a near real-time manner is incorporated.

383 First, observations from weather stations are used to calculate the actual amount of snow that
384 has accumulated on each lane. Here, the actual amount of snow that has accumulated since the
385 beginning of the operation is added to the base-accumulation. Then, the model uses GPS
386 coordinates to determine roads that have been plowed as well as the direction the trucks are
387 traveling. Each time a truck passes a road, the lane in the truck's traveling direction with the largest
388 snow accumulation is assumed to be cleared, and its base-accumulation is updated accordingly.
389 Finally, speed distribution functions are updated using all historical speed data, and updated results
390 are created using the updated speed functions and latest weather forecasts.

391 Depending on the complexity of the road network, the length of the forecast period, and
392 computer configurations, the model requires several minutes for simulation calculations to be
393 performed. As mentioned previously, the process is referred to as "near real-time." Notably, the
394 time lag required does not limit practical application of the model. Since the operation duration of
395 a route usually takes a few hours, frequent, real-time result updates do not add value, as only minor
396 changes will be observed. To achieve the most accurate results, the model should only be updated
397 when there are no active trucks; if any trucks are active when the model is updated, unfinished
398 roads may be reassigned to other trucks and may result in errors.

399 **MODEL APPLICATION**

400 A decision-support tool implementing the proposed framework was developed using *R* (R Core
401 Team 2020) and was applied to two winter highway maintenance problems. The first is an
402 illustrative example used to demonstrate the ability of the model to create optimal output results.

403 The second is a case study of actual operations used to evaluate the model by comparing outputs
404 of the proposed method with real project data. To reduce errors arising from inaccurate weather
405 forecast data, actual weather observations were used in the case study.

406 **Illustrative example**

407 This illustrative example uses a road network with six roads and four weather stations. All
408 roads are 10 km in length, with one lane in each direction. Figure 3 illustrates the road network,
409 depot location, and weather station locations. The roads are categorized into four LOS classes. The
410 trigger amounts and reaction times for each class are listed in Table 1.

411 Six simple paths in this road network are used to create candidate operation routes. For each
412 route, trucks depart from the depot, follow the simple path to its ending vertex, and return to the
413 depot following the path in reverse. Figure 4 illustrates the operation routes in this road network.
414 The illustrative example assumes there was a snow event on January 7, 2020. The snow
415 precipitation rate was 1 cm/h at all weather stations on this day, and truck speed was 40 km/h for
416 both working and deadheading. Based on these assumptions and the LOS requirements, the
417 optimal operation schedule was calculated. An example is provided as follows:

- 418 • Road *a* is a class A road that has a 2-cm trigger amount and a 0.5-hour reaction time.
- 419 • Operation route 2 is the only route that includes road *a*. In this route, a truck needs 0.25
420 hours to arrive at road *a*, 0.25 hours to plow one lane of the road before plowing the
421 lane in the opposite direction, and 0.25 hours to return to the depot after plowing.
- 422 • Since the stipulated reaction time is 0.5 hours, a truck must depart from the depot at the
423 same time that road *a* reaches its trigger amount to ensure the LOS specification is met.
- 424 • Based on the snow precipitation rate, road *a* reaches its trigger amount every two hours.

425 • Therefore, it is optimal to schedule the first task for route 2 two hours after the snow
426 event begins, with subsequent tasks for this route scheduled to begin every 1.75 hours.

427 Using a similar calculation approach, optimal scheduling intervals for routes 3, 5, and 6 were
428 determined to be 4.25, 5.25, and 6.75 hours, respectively. Service requirements on roads *c* and *d*
429 can be met by operations on routes 2, 3, 5, and 6, and tasks on routes 1 and 4 are not required. An
430 operation schedule was then generated using the proposed framework, as illustrated in Figure 5.

431 Consistent with the optimal solution, operation tasks were scheduled for routes 2, 3, 5, and 6,
432 with the scheduling intervals for each route equivalent to the optimal solution. The illustrative
433 example demonstrates the ability of the proposed model to select appropriate operation routes,
434 schedule tasks at optimal times, and achieve optimal solutions.

435 **Case study**

436 This case study is based on a real project in Alberta, Canada. The road network, depot location,
437 and weather station locations are shown in Figure 6. A snow event from March 27–30, 2020, is
438 used in this case study, with the observed snow precipitation rate at each weather station shown in
439 Figure 7. The snow event began on the afternoon of March 27, 2020, and ended on the afternoon
440 of March 29, 2020. At the beginning of the snow event, station A had the highest precipitation rate.
441 As time progressed, the snow event moved towards the road network, and a higher precipitation
442 rate was observed at station C. Station B observed no snow precipitation during this time. Truck
443 speed distribution functions were derived from historical data using the *R* package *fitdistrplus*
444 (Delignette-Muller and Dutang 2015). The process for obtaining the speed distribution functions
445 is detailed in Appendix B. Working and deadheading speed distributions were determined to be
446 Logistic (43.24, 7.62), and Laplace (45.00, 14.27), respectively.

447 The operation area in this case study is close to Edmonton, Alberta, and the average snow
448 density in Edmonton has been reported to be 224 kg/m³ (Williams 1956). The typical density of
449 new snow is between 50 to 70 kg/m³ and increases when snow is settling (Paterson 1994).
450 Considering that snow removal operations typically begin shortly after snowfall, the snow density
451 for this case study was assumed to be 150 kg/m³. Following the process described in the
452 methodology section, an operation plan was generated. The efficiency of the operation plan was
453 evaluated using a maintenance efficiency factor and by comparing it with actual operations.

454 *Maintenance efficiency factor*

455 Here, the operational efficiency was determined by averaging the amount of snow that a truck
456 plowed per pass. A greater amount of snow plowed per pass reduces the total operation effort
457 required to maintain the maintenance area, thereby increasing operational efficiency. A
458 maintenance efficiency factor, shown as Equation 6, was used to quantify the amount of snow that
459 a truck plowed per pass as a ratio of the road's trigger amount.

$$460 \quad ME = \frac{S}{\left(\frac{P}{N_L} + 1 \text{ clean-up plow}\right) \times S_T} \quad (6)$$

461 where ME is the maintenance efficiency; S is the total snow accumulation during the operation;
462 P is the plow count; N_L is the total number of lanes in both directions; and S_T is the trigger amount.

463 An efficiency factor equal to 1 indicates that the amount of snow plowed per pass equals the
464 road's trigger amount. As the value increases, more snow is plowed per pass and the operational
465 efficiency increases. Figure 8 summarizes the maintenance efficiency for each road. Over 70% of
466 the roads had an efficiency factor greater than 0.5, indicating that the average amount of snow
467 plowed on these roads was at least half of the road's trigger amount. The efficiency factor was

468 greater than 0.6 for more than 50% of the roads, and exceeded 0.8 on 35% of the roads. Less than
469 20% of roads had an efficiency factor lower than 0.4.

470 *Comparison of plow counts*

471 The plow count for each road was also compared with actual operations. Differences in plow
472 counts are summarized in Figure 9. It was observed that:

- 473 1. On most roads, the plow count for the actual operation was greater than the model result.
- 474 2. On 70% of roads, the difference in plow count was less than 5.
- 475 3. Two roads in the southeast area had the greatest difference in plow counts.

476 Two potential reasons for the differences in road plow counts were identified. First, the two
477 roads with the greatest differences were both class A roads, and both had two lanes in each
478 direction. Therefore, to make sure these roads were well-maintained, they were plowed more often
479 in actual operations—even if the trigger amount was not reached—thereby causing actual plow
480 counts to be greater than the model-derived operation plan. Second, actual maintenance operations
481 began earlier than the start time suggested by the model-derived operation plan. This meant that
482 some roads were plowed before their trigger amounts were reached, and less snow was removed
483 per pass. Therefore, for the same total snow precipitation amount, roads were plowed more times,
484 increasing the plow count on certain roads.

485 *Comparison of fleet size*

486 Figure 10 shows a comparison between the actual fleet size used in the operation and the fleet
487 size recommended by the model. Actual operations began earlier than the model and used a smaller
488 truck fleet. However, the total active time of the actual operation was longer. Actual operations
489 may have been started early because of a limitation in the number of available trucks. Instead of
490 pursuing higher operational efficiency, which requires more trucks, the duration of the actual

491 operation was extended to reduce the required number of trucks. By plowing roads before their
492 trigger amounts are reached, certain tasks can be scheduled later, and consequently, the peak truck
493 demand is reduced. However, this approach will increase plow counts on certain roads, thereby
494 reducing operational efficiency. This model aims to generate an operation plan with optimized
495 operational efficiency. As such, truck availability is considered an unlimited resource, which may
496 result in a larger truck fleet.

497 *Updating capabilities of model*

498 The ability of the model to update its results based on new input information was evaluated.
499 Since the actual operation was different from the operation plan, actual progress data collected on
500 March 28, 2020, at 19:00 were input into the model. The fleet size forecast obtained following
501 model updating is shown in Figure 11. The model was capable of producing realistic updating
502 results: the maximum truck fleet size was reduced when the operation began earlier, and the first
503 peak in truck demand was delayed.

504 *Conclusions*

505 The operation plan generated by the model avoided unnecessary travel and resulted in high
506 maintenance efficiency. Plow counts of the model-generated plan were comparable to actual
507 operations, and reductions in plow counts were observed for most roads. Reductions in plow
508 counts equate to a reduction in the total traveling distance of the trucks, lowering fuel costs. The
509 model-generated operation plan also shortens the total active time of the operation, potentially
510 reducing labor costs. However, the more efficient plan proposed here requires a larger fleet size,
511 which increases truck-associated costs, such as purchase and maintenance costs. Identifying the
512 ideal balance between long-term cost and performance for winter highway maintenance operations
513 should be investigated in future research studies.

514 **MODEL VALIDATION**

515 The model was validated using various techniques proposed by Sargent (2003). First, extreme
516 condition tests were performed. The model was tested on scenarios with zero snow precipitation,
517 zero roads in the road network, and a route length limited to zero. In all three scenarios, the model
518 produced an empty operation plan, as expected. These validation results demonstrate that the
519 model's behavior is plausible under extreme scenarios.

520 Second, traces were used to verify truck behavior. Truck locations were traced to ensure they
521 followed the designated route, and operation durations were verified by comparing model-derived
522 durations with hand calculation results. All trucks followed the designated route, and all durations
523 matched hand calculations, demonstrating that trucks in the model behave as directed.

524 Third, the model was validated by comparing its results to a valid model using an illustrative
525 case study. In this case, the model-generated result of a simple case was compared to the known
526 optimal solution. Model-generated outputs matched the optimal solution (Figure 5), thereby
527 validating the proposed model.

528 Finally, the model was also validated using predictive validation and an event validity test. The
529 model was run using data from a real project, and model-derived plow counts for each road were
530 compared with actual operations. Actual plow counts were similar to model results for 70% of
531 roads (Figure 9), demonstrating that the model is capable of generating operation plans that are
532 realistic. Furthermore, the plow count for most roads was lower than actual operations, indicating
533 that the model is capable of generating plans that are more efficient than those derived using
534 current industrial approaches.

535 **LIMITATIONS AND FUTURE WORK**

536 Practicality and functionality of the proposed model should be evaluated in consideration of
537 certain limitations. First, the model schedules maintenance tasks as close to their maximum
538 reaction times as possible to improve operational efficiency. While this approach reduces the plow
539 count on roads and shortens the total operation duration, it may require a larger truck fleet. A
540 resource leveling function could be added in the future to apply a resource constraint on the model
541 when available trucks are limited. The cost efficiency of this approach should also be explored to
542 determine the impact of improved operational efficiency on long-term project outcomes and cost.

543 Second, operation route optimization is simplified in this model, and the resulting plan may
544 not represent the most efficient operation route. Further studies can be conducted to incorporate a
545 more sophisticated route optimization algorithm to identify the optimum route.

546 Third, snow accumulation calculations are simplified to convert precipitation data into
547 accumulation amounts using a snow density value. More sophisticated approaches, which consider
548 additional factors such as road surface temperature, wind speed, and traffic volume, can be further
549 explored.

550 Fourth, the proposed framework only applies to short-term operation planning. While detailed
551 precipitation data with short intervals are required to capture sudden changes in weather, these
552 data are only available for short-term forecasts due to limitations in meteorological technologies.

553 **CONCLUSION**

554 In this paper, a data-driven, near real-time simulation approach that can be used to assist short-
555 term operation planning of winter highway maintenance operations was developed. Weather data,
556 road network information, and vehicle tracking data were used to generate fleet size forecasts and
557 operation plans that aim to maximize operational efficiency. The model was validated by

558 comparing results with an optimal solution in an illustrative example and with real operation data
559 in a case study. The model was also shown to be capable of using weather observation and vehicle
560 tracking data to update results during operations, ensuring that results remain valid and practical
561 when actual operations deviate from the operation plan.

562 This model allows resource requirements, working schedules, and operation routes to be
563 rapidly and automatically generated in near real-time—all while providing a solution that is likely
564 more efficient than current practice. Notably, the framework developed in this study is generic and
565 can be adapted for solving problems of a similar nature.

566 **APPENDIX A. Pseudo-Code for Calculating Operation Task Durations**

567 The following pseudo-code describes the realization of the discrete-event simulation process for
568 calculating the operation task durations in this study. Note that the pseudo-code presented here is
569 for an individual iteration. Additional loops and data summarization is required for a full
570 simulation.

571 Input: operation route sequence, Route = {Road a, Road b, Road c, ...}; length of each road;
572 working speed distribution; deadheading speed distribution

573 Output: operation duration for each road (i.e., sub-task); total operation duration of the route (i.e., task)

574 Total duration \leftarrow 0

575 FOR each Road in Route

576 IF Road has snow accumulated THEN

577 Speed \leftarrow a random value sampled from working speed distribution

578 ELSE

579 Speed \leftarrow a random value sampled from deadheading speed distribution

580 Sub-task duration \leftarrow Road length / Speed

581 Total duration \leftarrow Total duration + Sub-task duration

582 Total operation duration of the route \leftarrow Total duration

583 **APPENDIX B. Process of Obtaining Speed Distribution Functions**

584 The R package *fitdistrplus* (Delignette-Muller and Dutang 2015) was used to automatically create
585 and update speed distribution functions with available historical operation data. The steps of this
586 process are as follows:

- 587 1. Randomly sample speed data points from available historical data (in the case study,
588 5000 working speeds and 5000 deadheading speeds were sampled).
- 589 2. Use the *fitdistrplus* package to fit normal, Laplace, logistic, Weibull, gamma, and beta
590 distribution functions for working and deadheading speeds using the maximum
591 likelihood estimation method.
- 592 3. Use the KS test to compare the distribution functions, choose the most likely working
593 and deadheading speed distributions based on the KS test results.

594 In the case study, working and deadheading speed distributions were determined to be Logistic
595 (43.24, 7.62) and Laplace (45.00, 14.27), as shown in Figures B.1 and B.2, respectively. Since the
596 speed distribution data were provided by a third party, x-axis labels have been removed to maintain
597 confidentiality.

598 **DATA AVAILABILITY STATEMENT**

599 Code for the decision-support system was developed in collaboration with a third party.
600 Requests for this material should be made to both the corresponding author and the collaborator
601 listed in the Acknowledgements. Weather, GPS tracking, and road network data used in the study
602 were provided by a third party. Direct request for these materials may be made to the provider
603 indicated in the Acknowledgments.

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689 **TABLES**

690 **Table 1.** LOS class requirements for the illustrative example

Class	Trigger Amount (cm)	Maximum Reaction Time (h)
A	2	0.5
B	4	1
C	5	1
D	6	1.5

691

Figure 1

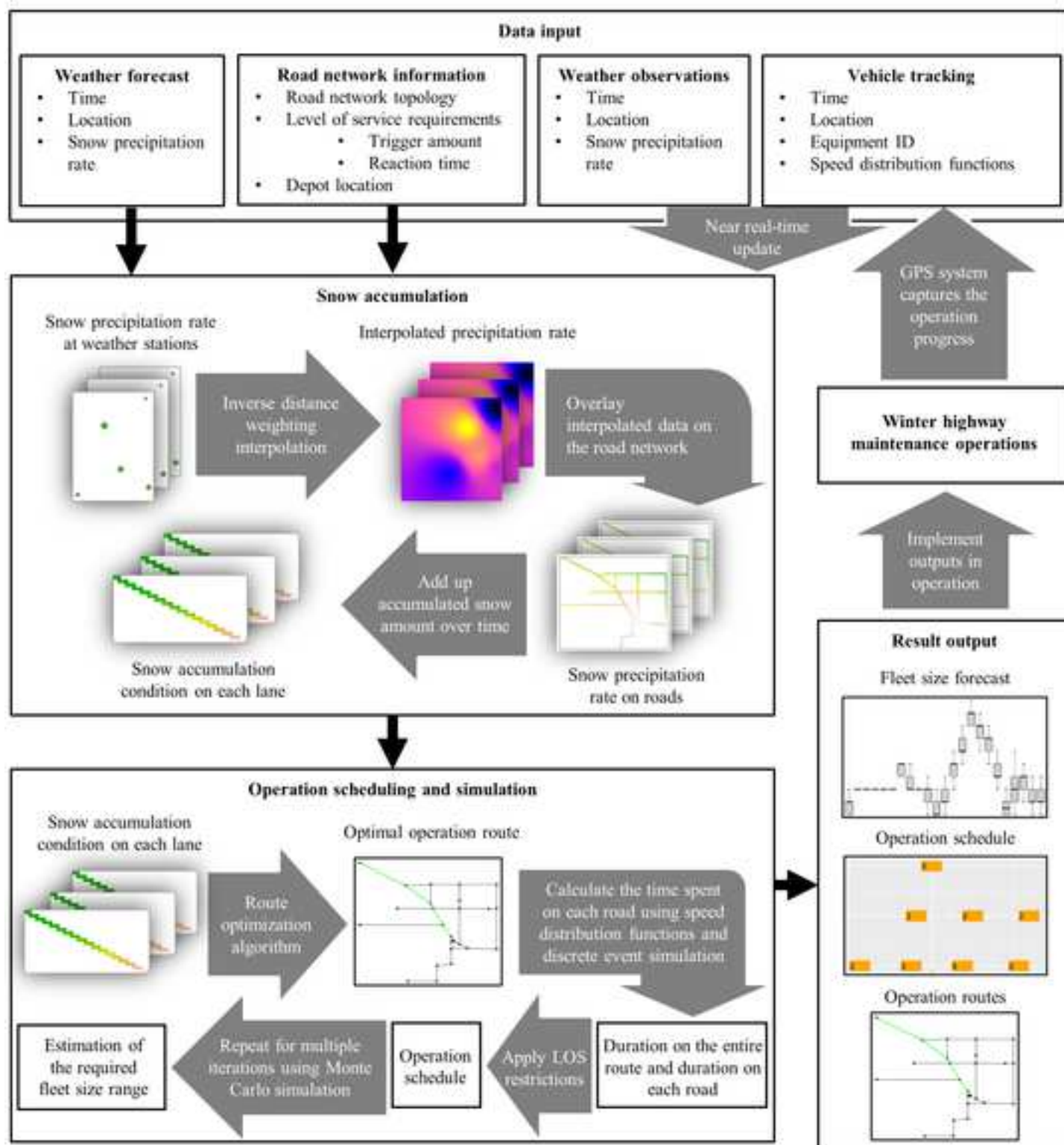


Figure 2

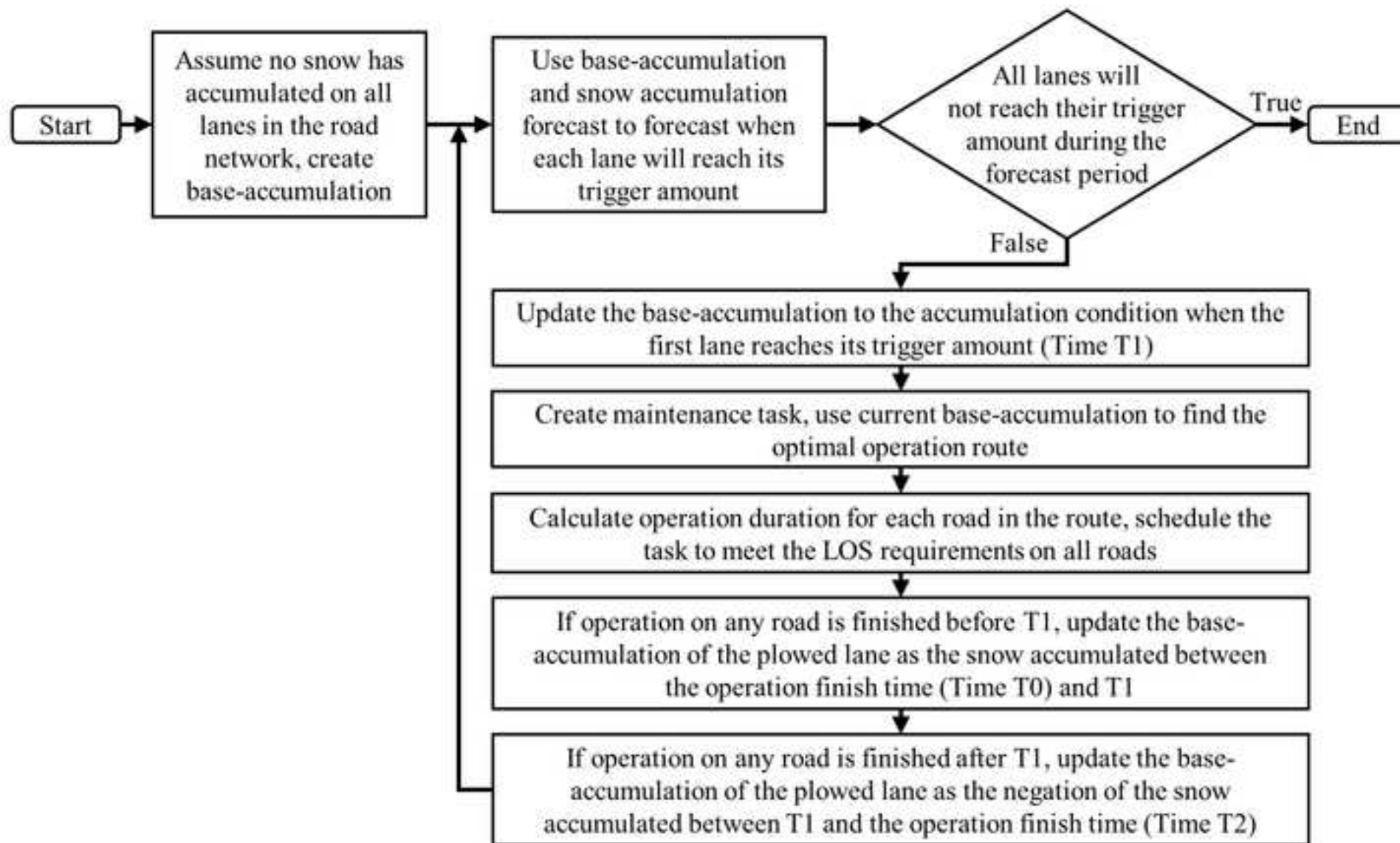
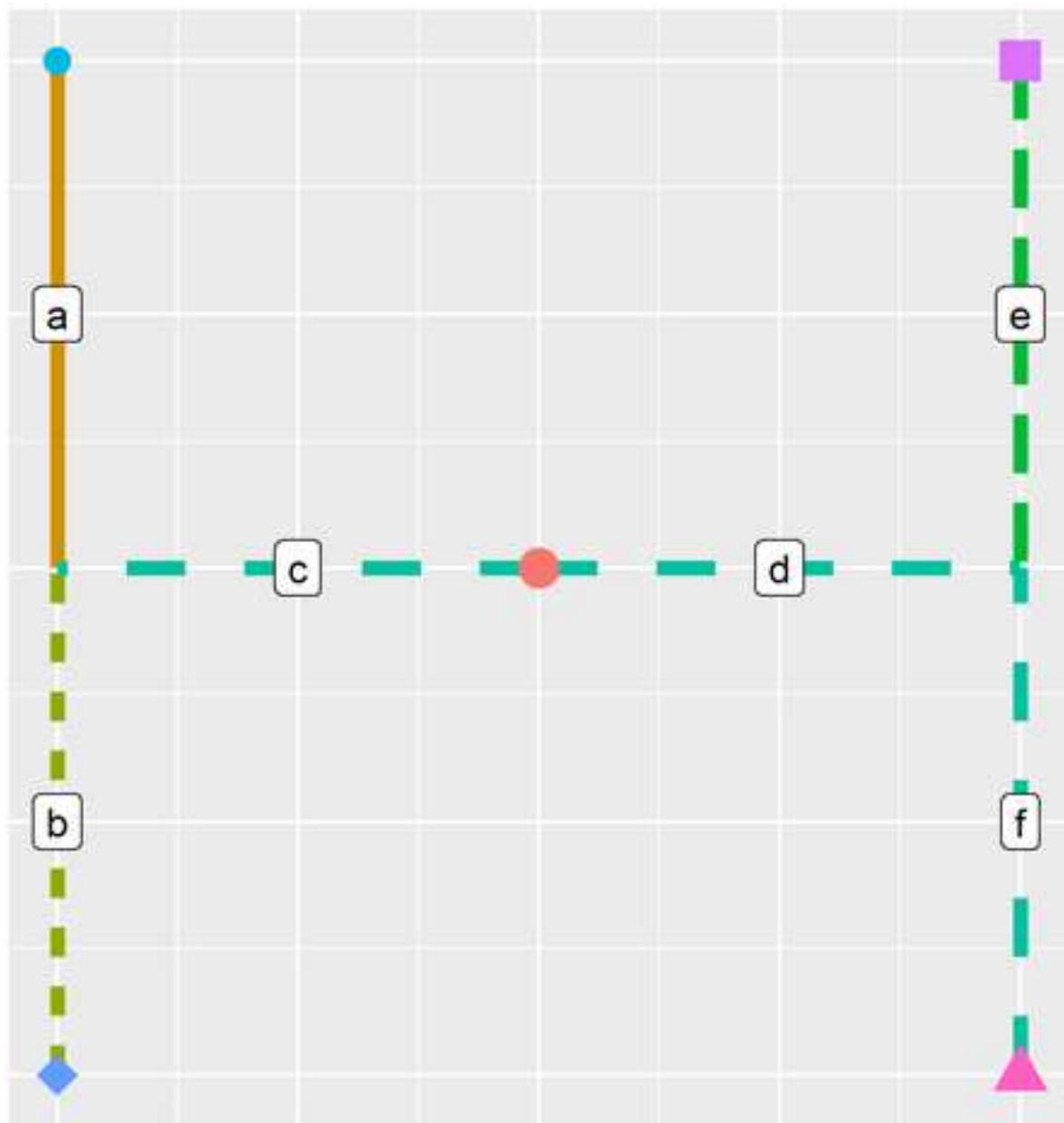


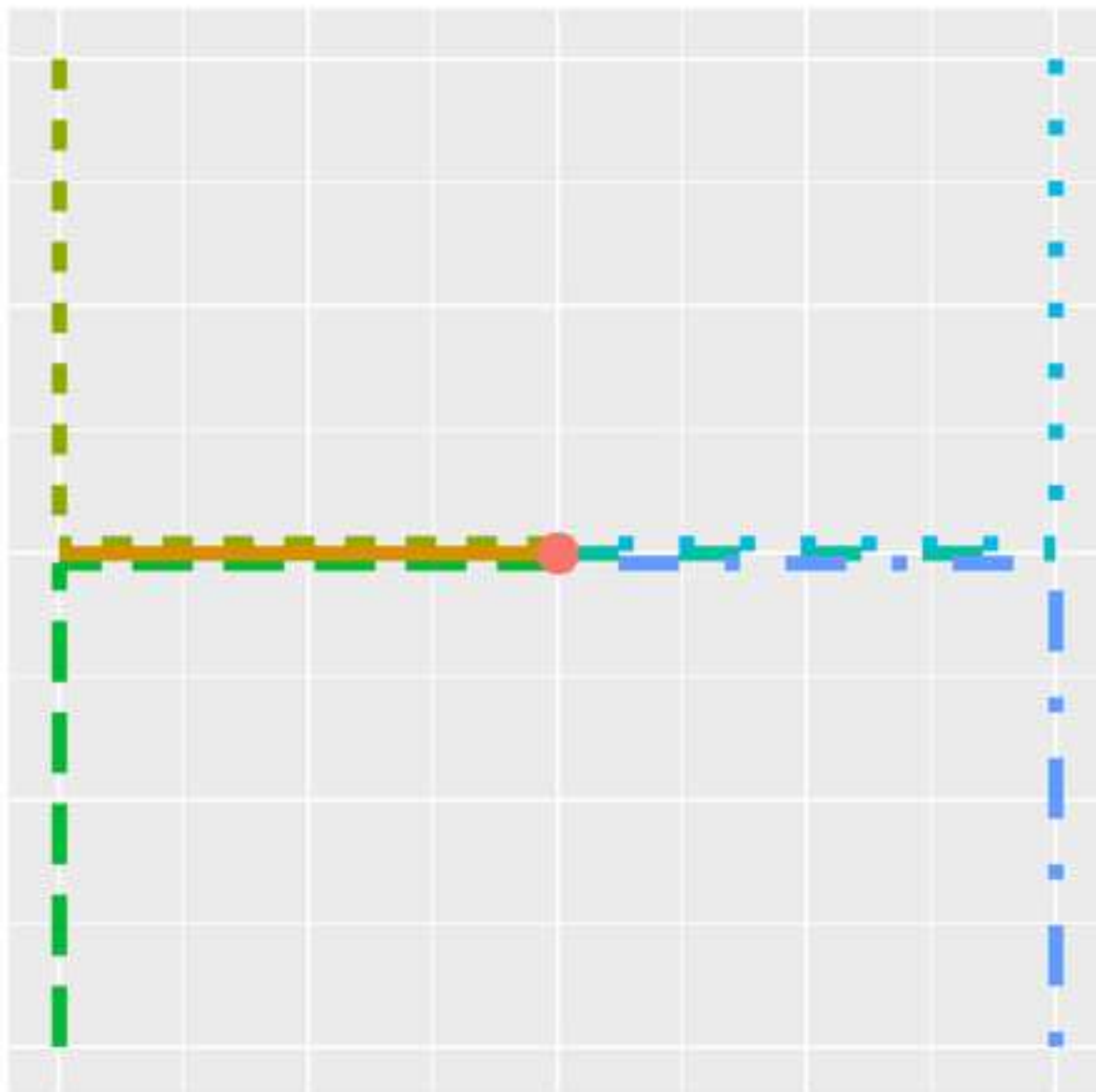
Figure 3



Legend

- Depot
- LOS class A
- - - LOS class B
- LOS class C
- - - LOS class D
- Weather Station A
- ◆ Weather Station B
- Weather Station C
- ▲ Weather Station D

Figure 4



Legend

- Depot
- Operation Route 1
- - - Operation Route 2
- - - Operation Route 3
- - - Operation Route 4
- - - Operation Route 5
- - - Operation Route 6

Figure 5

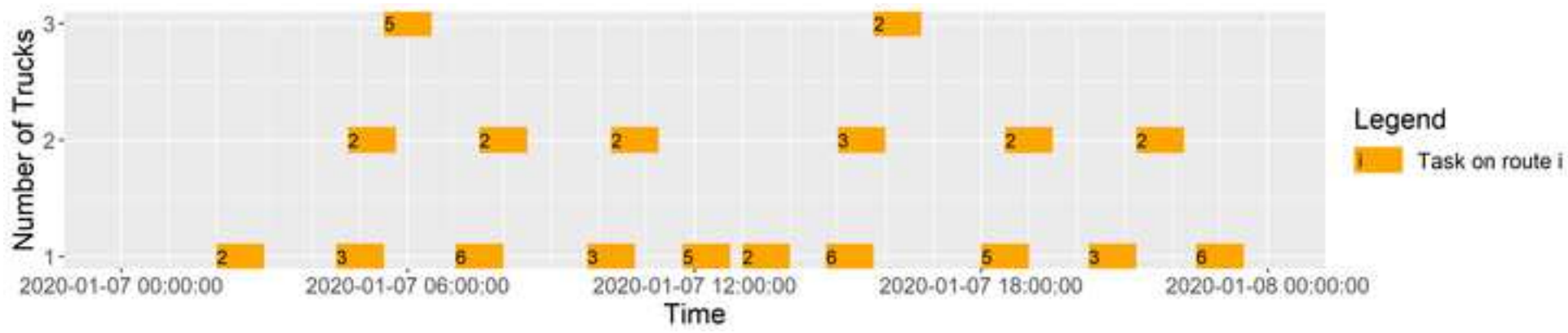
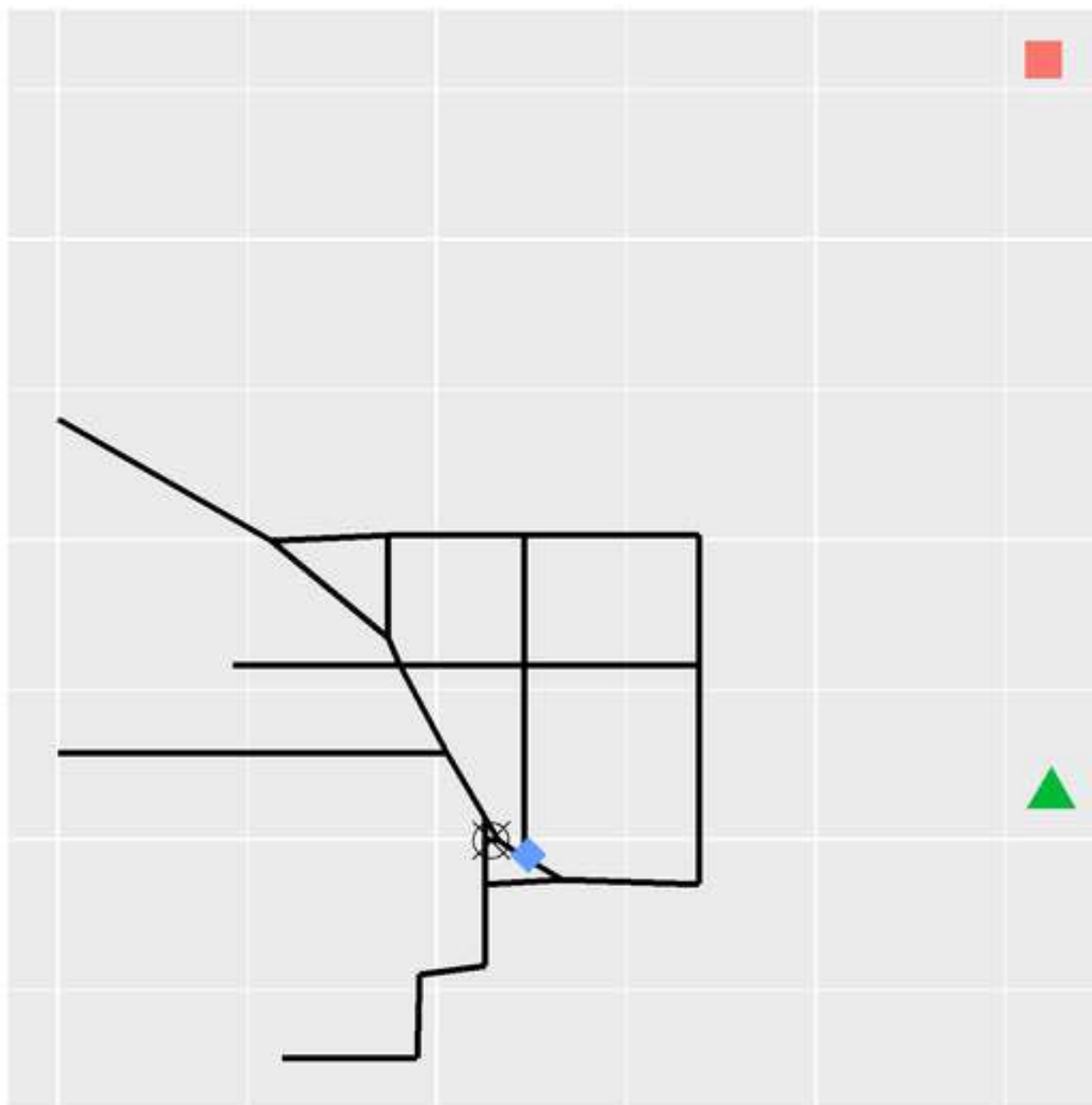


Figure 6



Depot

⊗ Depot

Weather Station

- A
- ▲ B
- ◆ C

Figure 7

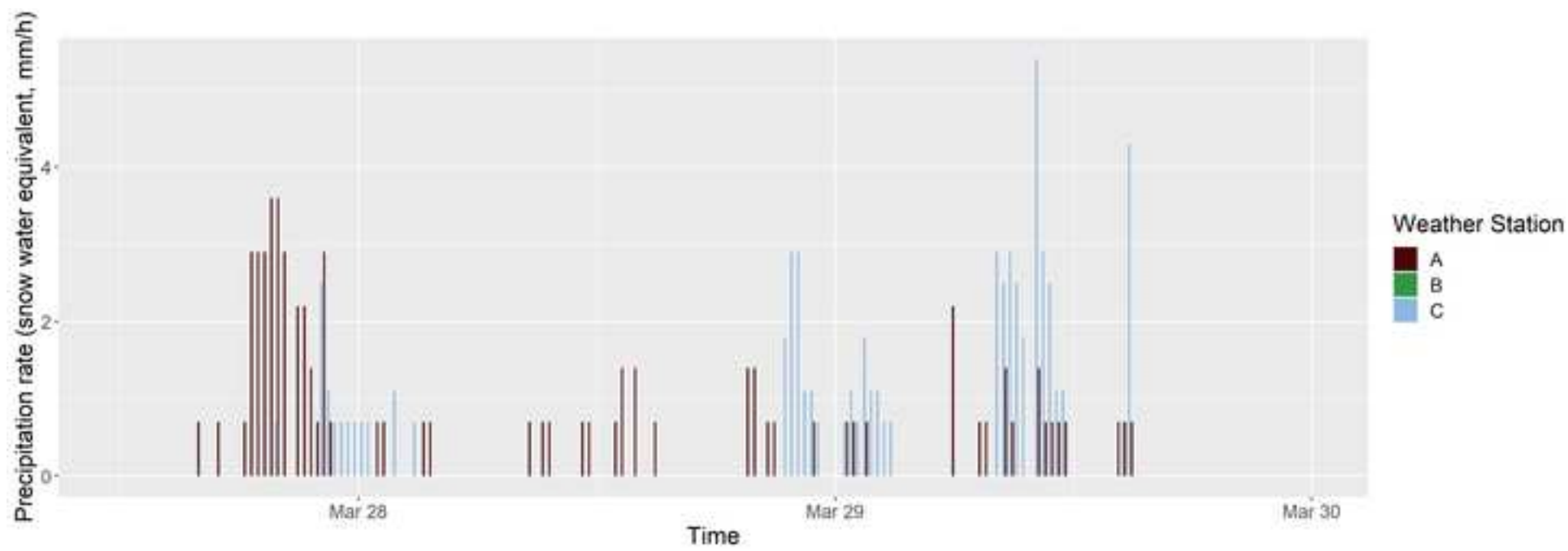
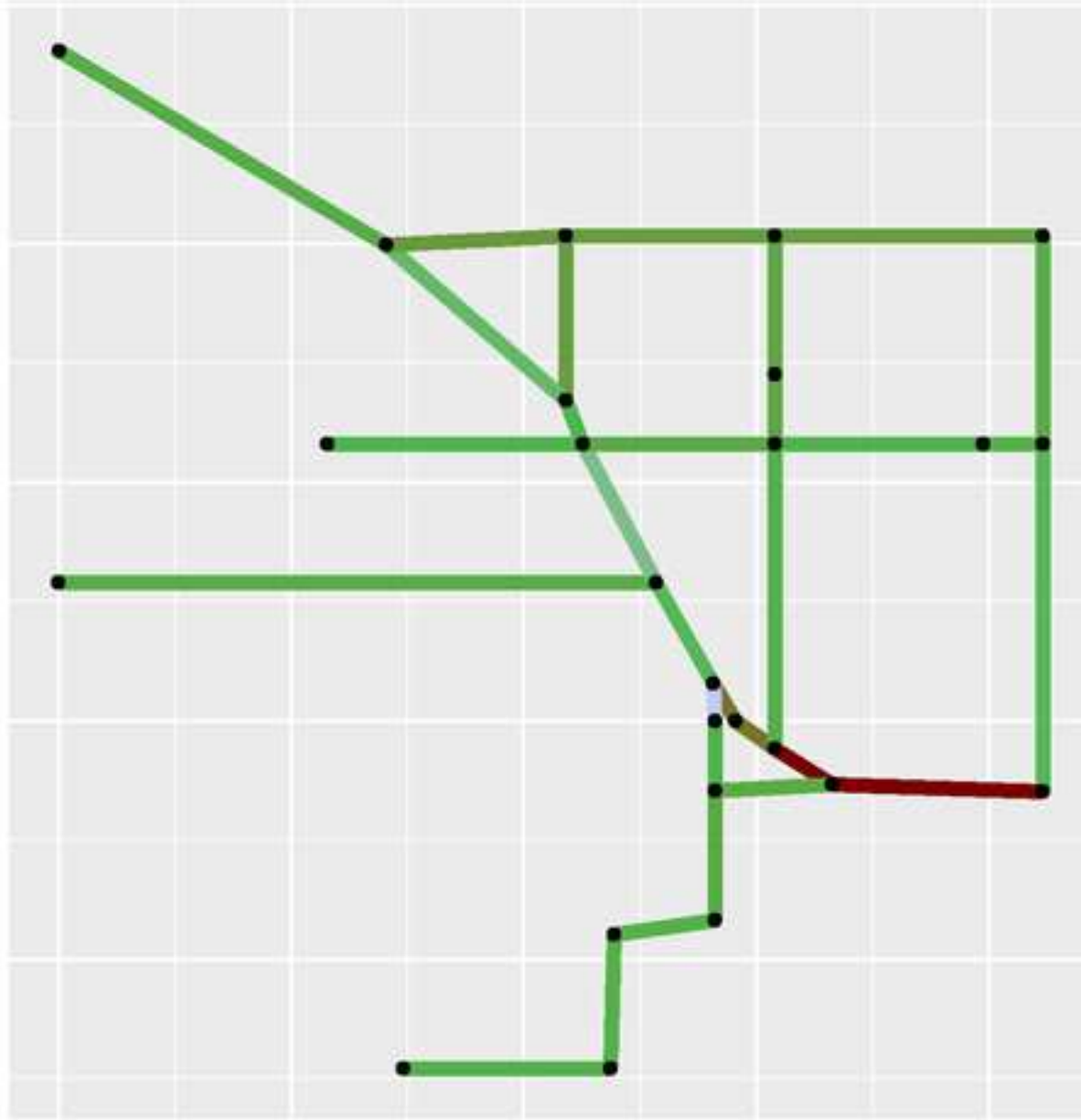


Figure 9



Flow Count Difference

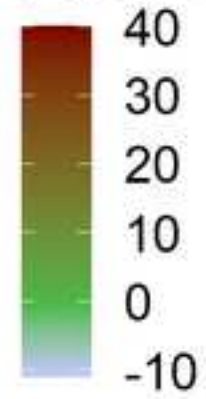


Figure 10

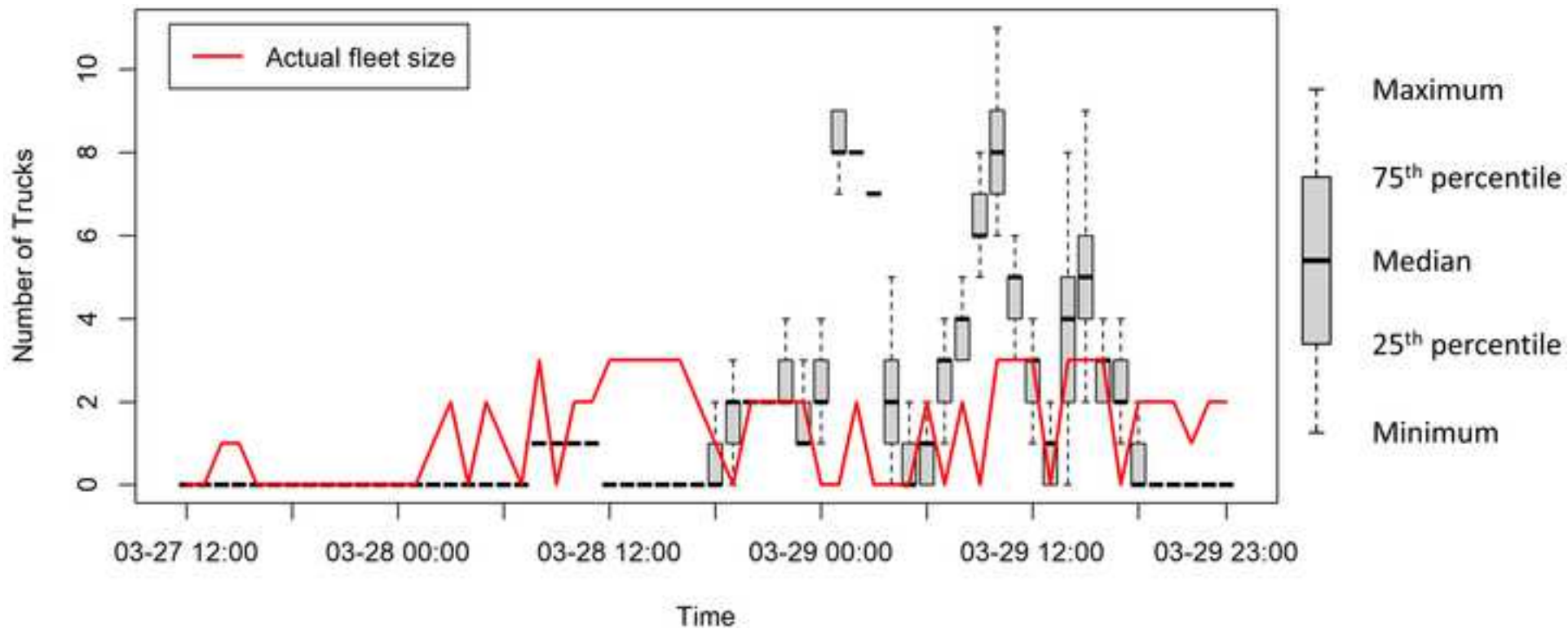


Figure 11

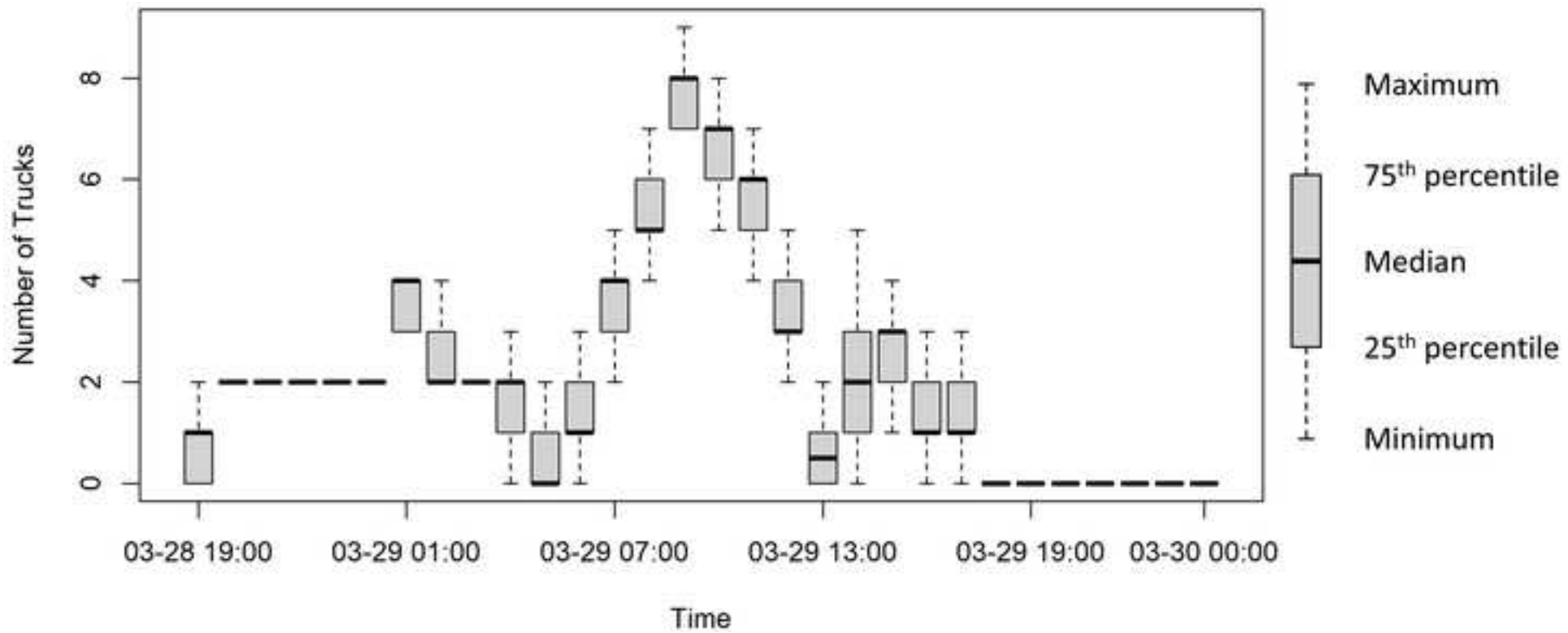


Figure B1

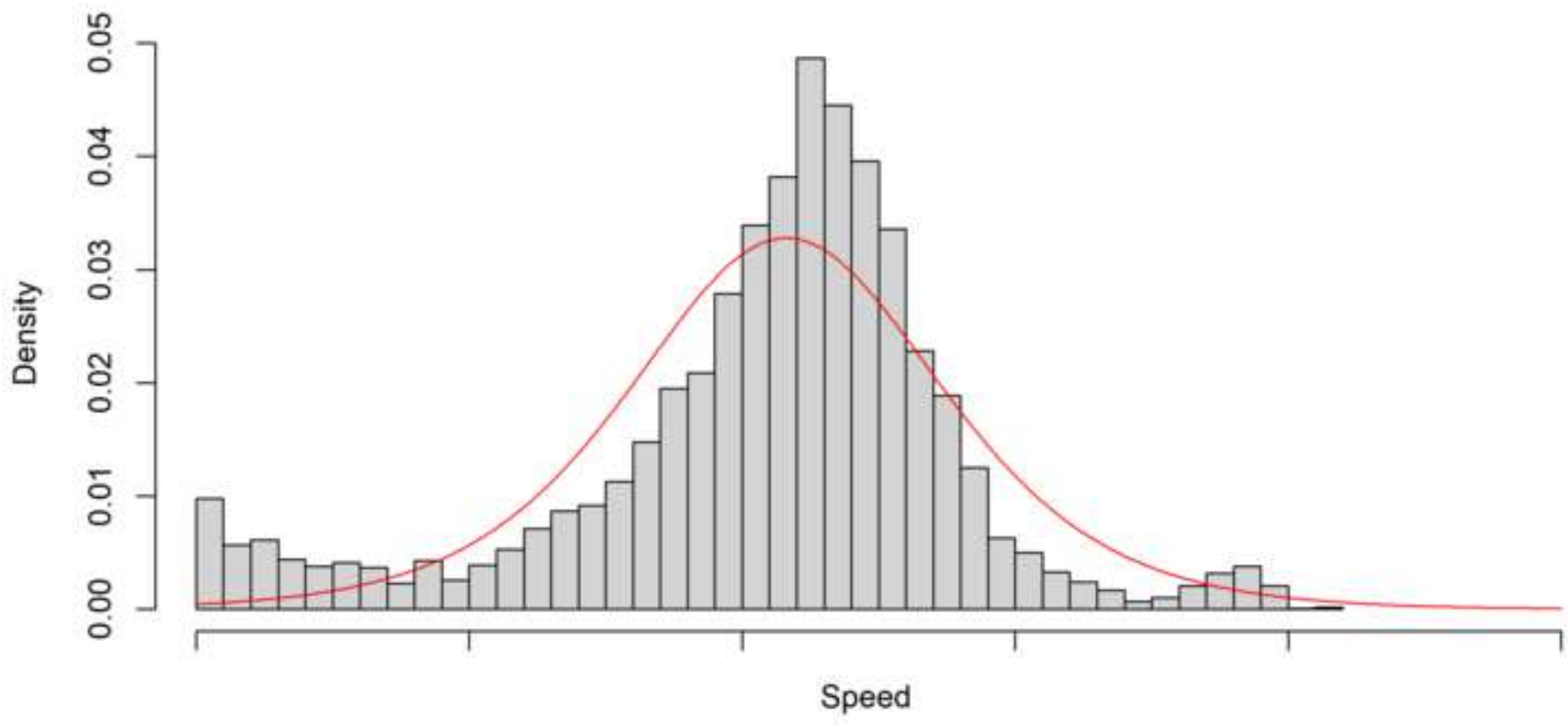


Figure B2

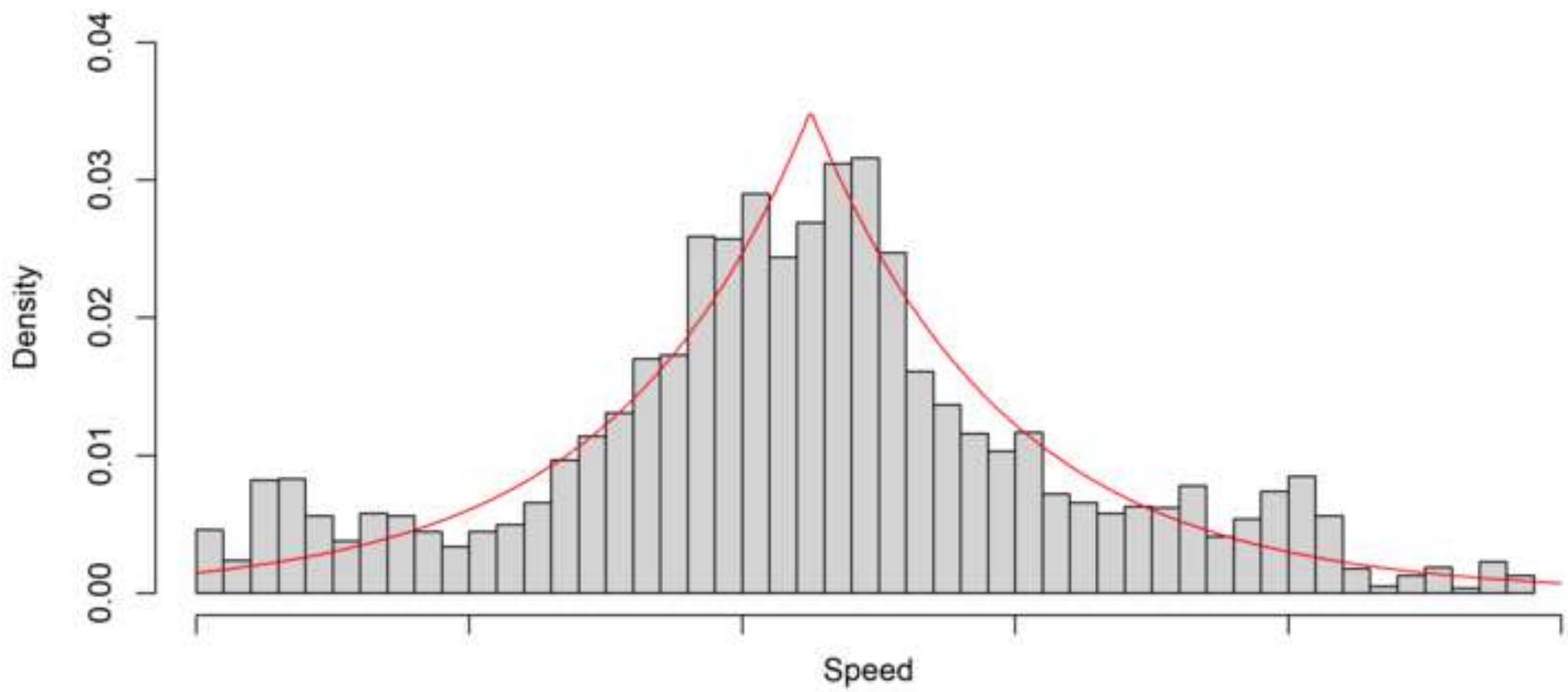


FIGURE CAPTION LIST

Fig. 1. Framework of the simulation model.

Fig. 2. Creation of operation tasks and operation schedule.

Fig. 3. Road network, depot location, and weather station locations for the illustrative example.

Fig. 4. Operation routes in road network.

Fig. 5. Model-generated operation schedule.

Fig. 6. Road network, depot location, and weather station locations for the case study.

Fig. 7. Observed snow precipitation rates from March 27–30, 2020.

Fig. 8. Maintenance efficiency of the model-generated operation plan.

Fig. 9. Plow count differences between actual operations and model results.

Fig. 10. Comparison between the fleet size forecast and actual active fleet size.

Fig. 11. Updated fleet size forecast.

Fig. B.1. Data fitting curve for working speed distribution function.

Fig. B.2. Data fitting curve for deadheading speed distribution function.