

## Article

# Combination of Clinical and Gait Measures to Classify Fallers and Non-Fallers in Parkinson's Disease

Hayslenne A. G. O. Araújo <sup>1,2</sup>, Suhaila M. Smaili <sup>2</sup> , Rosie Morris <sup>1,3</sup>, Lisa Graham <sup>1,4</sup>, Julia Das <sup>1,3</sup> , Claire McDonald <sup>4</sup>, Richard Walker <sup>3</sup> , Samuel Stuart <sup>1,3,5</sup> and Rodrigo Vitório <sup>1,\*</sup> 

<sup>1</sup> Department of Sport, Exercise and Rehabilitation, Northumbria University, Newcastle upon Tyne NE1 8ST, UK

<sup>2</sup> Department of Physical Therapy, State University of Londrina, Londrina 86057-970, Brazil

<sup>3</sup> Northumbria Healthcare NHS Foundation Trust, North Tyneside General Hospital, Newcastle upon Tyne NE29 8NH, UK

<sup>4</sup> Gateshead Health NHS Foundation Trust, Gateshead NE8 2PJ, UK

<sup>5</sup> Department of Neurology, Oregon Health and Science University, Portland, OR 97239, USA

\* Correspondence: rodrigo.vitorio@northumbria.ac.uk

**Abstract:** Although the multifactorial nature of falls in Parkinson's disease (PD) is well described, optimal assessment for the identification of fallers remains unclear. Thus, we aimed to identify clinical and objective gait measures that best discriminate fallers from non-fallers in PD, with suggestions of optimal cutoff scores. **METHODS:** Individuals with mild-to-moderate PD were classified as fallers (n = 31) or non-fallers (n = 96) based on the previous 12 months' falls. Clinical measures (demographic, motor, cognitive and patient-reported outcomes) were assessed with standard scales/tests, and gait parameters were derived from wearable inertial sensors (Mobility Lab v2); participants walked over-ground, at a self-selected speed, for 2 min under single and dual-task walking conditions (maximum forward digit span). Receiver operating characteristic curve analysis identified measures (separately and in combination) that best discriminate fallers from non-fallers; we calculated the area under the curve (AUC) and identified optimal cutoff scores (i.e., point closest-to-(0,1) corner). **RESULTS:** Single gait and clinical measures that best classified fallers were foot strike angle (AUC = 0.728; cutoff = 14.07°) and the Falls Efficacy Scale International (FES-I; AUC = 0.716, cutoff = 25.5), respectively. Combinations of clinical + gait measures had higher AUCs than combinations of clinical-only or gait-only measures. The best performing combination included the FES-I score, New Freezing of Gait Questionnaire score, foot strike angle and trunk transverse range of motion (AUC = 0.85). **CONCLUSION:** Multiple clinical and gait aspects must be considered for the classification of fallers and non-fallers in PD.

**Keywords:** Parkinson; gait; falls



**Citation:** Araújo, H.A.G.O.; Smaili, S.M.; Morris, R.; Graham, L.; Das, J.; McDonald, C.; Walker, R.; Stuart, S.; Vitório, R. Combination of Clinical and Gait Measures to Classify Fallers and Non-Fallers in Parkinson's Disease. *Sensors* **2023**, *23*, 4651. <https://doi.org/10.3390/s23104651>

Academic Editor: Mario Munoz-Organero

Received: 24 March 2023

Revised: 3 May 2023

Accepted: 8 May 2023

Published: 11 May 2023



**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).

## 1. Introduction

Falls are common in individuals with Parkinson's disease (PD) and have devastating consequences [1]. Falling is twice as frequent in people with PD compared with those without the condition [1,2]. For those individuals who suffer falls, detrimental fall-related consequences include bone fractures, hospitalization and reduced mobility, impacting their quality of life [3,4]. Those aftermaths, combined with fear of falling, start a dysfunctional cycle and lead to even greater risk of falling [3]. Additionally, the consequences of falls represent high costs to patients and healthcare services [4]. Due to these serious consequences, falls figure as a public health concern [1,5]. In this context, it is necessary to devote attention to falls management in PD, including the identification of PD-specific markers for risk of falling [6,7].

Falls have a multifactorial etiology in PD and several risk factors have been identified [5,8–10]. Clinical aspects such as fall history, more severe/advanced disease, greater

levodopa dosage, impaired postural control and cognitive deficits have been shown to increase the risk of falling in PD [8,11]. Additionally, gait deficits, such as increased variability, difficulties with dual-task walking and freezing of gait episodes, are among identified risk factors of falling in people with PD [1,7,12,13]. However, it remains unclear which of these risk factors best classify fallers and non-fallers among individuals with PD. Therefore, the direct comparison of multiple clinical and gait measures (and combinations of them) in classifying fallers and non-fallers in PD is of utmost importance.

The appropriate management of fall occurrence in PD requires the development of optimized assessment protocols for risk of falls. Standard clinical fall risk assessment in PD involves functional tests, balance scales, patient-reported symptoms and disease-specific clinical scales. Despite their easy applicability, these clinical tools have two major limitations: they are subjective and unable to measure subtle changes in gait. Therefore, fall risk assessment in PD may be enhanced by the inclusion of objective measures of gait. Recent studies demonstrate that the addition of objective measures of gait to clinical variables improved the classification of fallers and non-fallers in PD [6,14]. However, these studies have major limitations: the one by Delval et al. used an expensive motion capture system; Vitorio et al., despite applying low-cost wearable inertial sensors, assessed people with PD while OFF their medication (which limits ecological validity of findings). Furthermore, specific cut-off scores of objective measures of gait have not been proposed yet. Therefore, the current study was designed to address the above-mentioned limitations.

The primary aim of this study was to identify clinical (demographic, motor, cognitive and patient-reported) and objective gait measures that best discriminate fallers from non-fallers in PD, with suggestions of optimal cut-off scores. Additionally, we explored combinations of clinical and objective gait measures (i.e., clinical-only, gait-only and clinical + gait combinations) that best identify fallers in PD. We hypothesized that combinations of clinical and objective gait measures would better classify fallers and non-fallers among people with PD when compared to clinical-only or gait-only measures.

## 2. Materials and Methods

### 2.1. Participants

One hundred and twenty-seven individuals with mild-to-moderate PD [15,16] participated in this study. Participants were tested within 60 min of taking anti-Parkinsonian medication. Inclusion criteria were: (1) diagnosis of idiopathic PD according to UK Brain Bank criteria [17]; (2) aged 50 years or older; (3) independently able to walk; (4) stable medication for the month previous. Exclusion criteria included an inability to follow instructions to complete the study protocol, any other neurological disorders (other than PD) or musculoskeletal impairments that interfere with gait or balance.

The study was approved by the London-Bloomsbury NHS Research Ethics Committee (and Health Research Authority; 20/LO/1036, 5 October 2020) and the Institutional Review Board of the Oregon Health & Science University (#9903). All participants provided their written informed consent prior to the experiment. Assessments were carried out at the Balance Disorders Lab (Department of Neurology, Oregon Health & Science University) and the Clinical Gait Lab (Department of Sport, Exercise and Rehabilitation, Northumbria University).

### 2.2. History of Falls and Classification

Falls were defined as an unintentionally coming to the ground or some lower level not as a result of a major intrinsic event or an overwhelming hazard [6,11]. Based on self-reported history of falls, participants were classified as fallers ( $\geq 2$  falls) or non-fallers.

### 2.3. Clinical and Gait Assessments

Participants underwent a clinical assessment, which included collection of socio-demographic information and medical history, clinical and cognitive tests. PD symptoms, severity and stage were assessed with the Movement Disorders Society (MDS-revised)

Unified Parkinson Disease Rating Scale from Movement Disorders Society (MDS-UPDRS)—part III [15] and the Hoehn and Yahr rating scale (HY) [16], respectively. Global cognition was assessed with the Montreal Cognitive Assessment scale (MoCA) [18]; executive function was assessed by the Royall's clock drawing [19] and Trail Making Test parts A and B [20]. Working memory was assessed through seated forward digit span. Visuospatial ability was measured by Benton's Judgement of Line Orientation [21]. Fear of falling was assessed by the Falls Efficacy Scale—international version [22].

For the walking assessment, participants were instructed to walk, at a self-selected comfortable pace, back and forth on a straight 10 m walkway (tape marked at either end) for 2 min. Two walking conditions were tested: single and dual-task (the cognitive task was the maximum forward digit span) walking. Eight wearable inertial sensors (Opals, APDM Wearable Technologies—a Clario company, Portland, OR, USA) that included triaxial accelerometers, gyroscopes and magnetometers were used to instrument the walking tests. They recorded at 128 Hz and were attached, with Velcro straps, at the lumbar spine (5th lumbar vertebrae), sternum, bilaterally on the wrists, shins and feet. A total of 39 objective gait measures within 4 domains (upper/lower body, turning and variability) [23,24] were extracted using Mobility Lab software (Mobility Lab v2, APDM Wearable Technologies—a Clario company, Portland, OR, USA) [25–27]. Mobility Lab has been through test–retest reliability and validation testing; and outcomes can discriminate people with PD from healthy controls [23,25–29].

#### 2.4. Statistical Analysis

Data normality was assessed using the Shapiro–Wilk test. For demographic variables, comparisons between fallers and non-fallers were performed using the Mann–Whitney test or Student t test, according to data distribution. Receiver operating characteristic (ROC) curve analysis tested the performance of each outcome measure in discriminating fallers from non-fallers. The area under the curve (AUC), sensitivity and specificity were calculated. An AUC can be interpreted as follows;  $\geq 0.9$ : outstanding, 0.8–0.9: excellent, 0.7–0.8: acceptable [30]. The optimal cut-off point of each outcome measure was determined as the point closest-to-(0,1) corner (false positive rate = 0%; sensitivity = 100%) in the ROC plane.

*Combinations.* Only outcome measures with significant performance in classifying fallers and non-fallers were considered eligible for the combinations of outcome measures in different scenarios: clinical-only, gait-only (separately for single and dual-task walking) and clinical + gait measures. To avoid multicollinearity within the combinations, correlations matrices were built, and highly correlated outcome measures ( $r/\rho \geq 0.6$ ) were excluded (keeping the one with the highest AUC for the combinations). Then, combinations of up to five outcome measures (due to our sample size) were considered for each of the above-mentioned scenarios. The optimal cut-off points observed for the individual measures were used for the combinations. For each hit cut-off score, one point was added to the final score of each combination. For example, if a participant reached the cut-off score in two individual outcome measures within the combination of five measures, the final score would be equal to two (out of five). Finally, ROC curve analysis was used to assess the performance of the combinations and the optimal cut-off score of each combination was determined (again as the point closest-to-(0,1) corner in the ROC plane) [31]. Statistical significance level was set at 0.05; all statistical analyses were performed using IBM SPSS version 27 (The International Business Machines Corporation, Armonk, NY, USA).

### 3. Results

#### 3.1. Sample Characteristics

Thirty-one participants (24.4%) were classified as fallers (two or more falls) and 96 (75.6%) as non-fallers. Demographics and clinical characteristics are shown in Table 1. Fallers had more severe motor symptoms and more advanced disease stage than non-fallers (Table 1).

**Table 1.** Sample characteristics.

| Variables                | All Participants<br>(n = 127) | Fallers<br>(n = 31) | Non-Fallers (N = 96) | p-Value |
|--------------------------|-------------------------------|---------------------|----------------------|---------|
| Age (years)              | 69.65 ± 7.67                  | 70.73 ± 7.08        | 68.93 ± 8.00         | 0.06    |
| Height (m)               | 1.68 ± 0.01                   | 1.69 ± 0.09         | 1.68 ± 0.01          | 0.70    |
| Weight (kg)              | 80.72 ± 17.56                 | 77.16 ± 14.54       | 83.08 ± 19.03        | 0.09    |
| Education (years)        | 14.60 ± 3.40                  | 14.64 ± 3.43        | 14.57 ± 3.41         | 0.79    |
| Disease duration (years) | 6.04 ± 5.23                   | 7.18 ± 6.31         | 5.28 ± 4.26          | 0.13    |
| MoCA (score)             | 26.98 ± 2.64                  | 26.51 ± 2.87        | 27.29 ± 2.46         | 0.08    |
| MDS-UPDRS III (score)    | 35.20 ± 16.17                 | 39.42 ± 15.04       | 32.43 ± 16.40        | 0.02 *  |
| HY (stage)               | 2.10 ± 0.65                   | 2.27 ± 0.58         | 1.99 ± 0.68          | 0.03 *  |
| 1                        | 20 (15.9%)                    | 2 (6.5%)            | 18 (18.9%)           | -       |
| 2                        | 72 (57.1%)                    | 16 (51.6%)          | 56 (58.9%)           | -       |
| 3                        | 34 (27.0%)                    | 13 (41.9%)          | 21 (22.1%)           | -       |

MoCA = Montreal Cognitive Assessment; MDS-UPDRS = Movement Disorder Society Unified Parkinson Disease Rating Scale; HY = Hoehn and Yahr scale; \*  $p < 0.05$ .

### 3.2. Clinical Measures

Several clinical measures significantly classified fallers and non-fallers. Clinical measures with highest AUCs included: FES-I (AUC = 0.743, cut-off = 25.5 points), NFOGQ (AUC = 0.741, cut-off = 5.5 points), FOG status (AUC = 0.737, cut-off = 0.5), MDS-UPDRS III (AUC = 0.697, cut-off = 36.5 points), HY (AUC = 0.690, cut-off = 2.5) and TMT-B (AUC = 0.645, cut-off = 70.92 s). The performance parameters and cut-off scores of all clinical measures tested in the current study are presented as Supplementary Material (Table S1).

The best three clinical-only combinations in classifying fallers and non-fallers had similar AUCs (0.808–0.809; Table 2). Out of these, the combination with the highest sensitivity (0.839) included the NFOGQ, MDS-UPDRS-III, HY and TMT-B; and the combination with the lowest false positive rate (0.208) had the same four measures plus FES-I (Table 2).

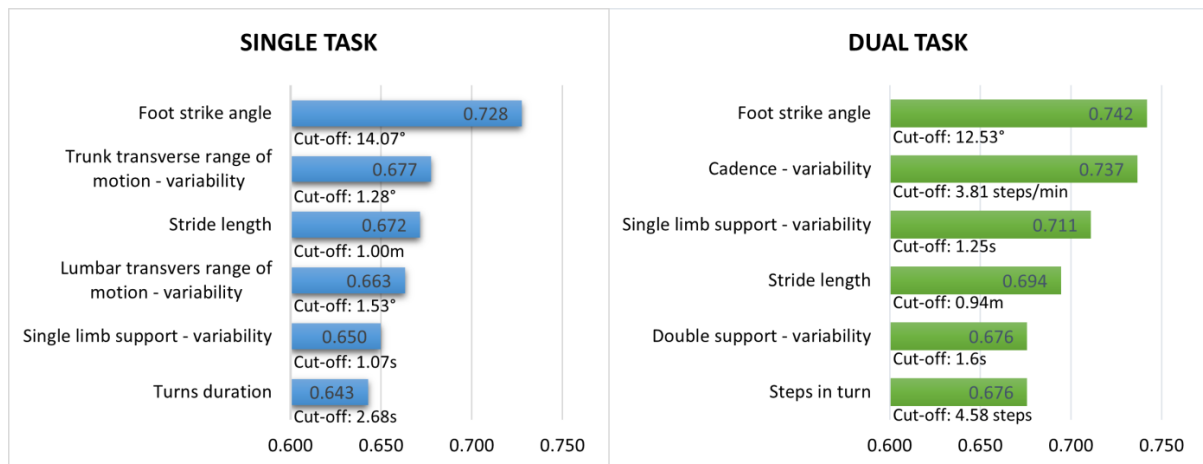
**Table 2.** Best combinations of clinical measurements in classifying individuals with Parkinson disease as fallers or non-fallers.

| Measures |           |           |       |       | AUC   | Cutoff | Sensitivity | 1–Specificity |
|----------|-----------|-----------|-------|-------|-------|--------|-------------|---------------|
| 1st      | 2nd       | 3rd       | 4th   | 5th   |       |        |             |               |
| FES-I    | NFOGQ     | UPDRS-III | HY    | TMT B | 0.809 | 2.5    | 0.710       | 0.208         |
| FES-I    | NFOGQ     | HY        | TMT B | TMT B | 0.809 | 1.5    | 0.806       | 0.344         |
| NFOGQ    | UPDRS-III | HY        | TMT B | TMT B | 0.808 | 1.5    | 0.839       | 0.292         |
| NFOGQ    | HY        | TMT B     | TMT B | TMT B | 0.802 | 1.5    | 0.677       | 0.188         |
| FES-I    | UPDRS-III | HY        | TMT B | TMT B | 0.791 | 2.5    | 0.613       | 0.156         |

FES-I = International Falls Efficacy Scale; NFOGQ = New Freezing of Gait Questionnaire; UPDRS-III = Unified Parkinson Disease Rating Scale (part III); HY = Hoehn and Yahr scale; TMT B = Trail Making Test—part B.

### 3.3. Gait Measures during Single and Dual-Task Walking

Several gait measures recorded during single and dual-task walking had significant performance in classifying fallers and non-fallers (Figure 1). Interestingly, foot strike angle had the highest AUC for both single (AUC = 0.728, cut-off = 14.07°) and dual-task walking (AUC = 0.742, cut-off = 12.53°). For single-task walking, other gait measures with high AUCs included: variability of trunk transverse range of motion, stride length, variability of lumbar transverse range of motion, variability of single limb support and turn duration. For dual-task walking, other gait measures with high AUCs included: cadence variability, variability of single limb support, stride length, variability of double support and steps in turn. The performance parameters and cut-off scores of all gait measures recorded in the current study are presented as Supplementary Material (Table S1).

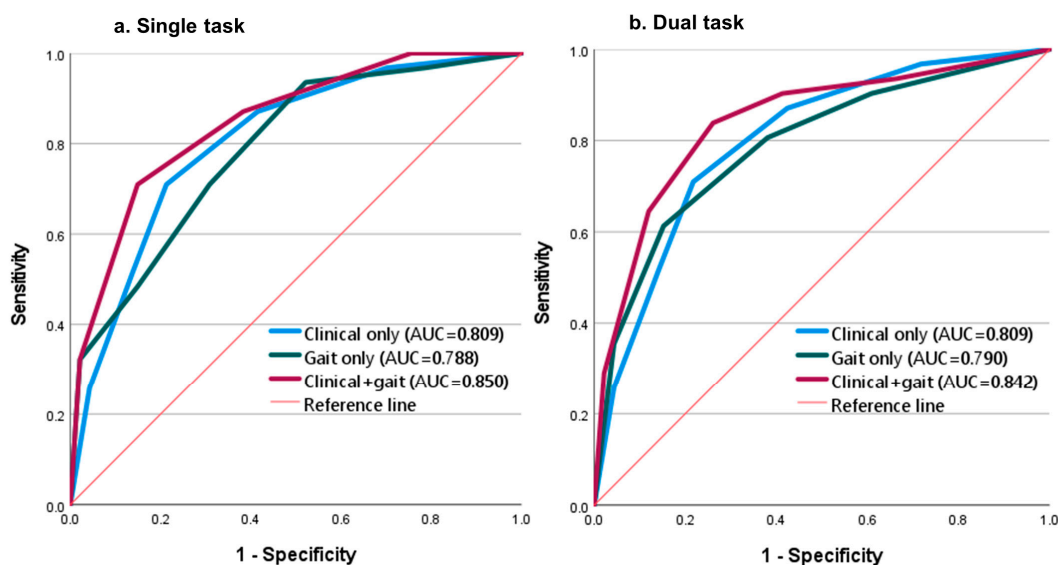


**Figure 1.** Gait measures (single and dual-task walking) with highest discriminative ability in classifying fallers and non-fallers.

The best gait-only combinations for single and dual-task walking conditions had similar performance in classifying fallers and non-fallers. The best gait-only combination of single task walking measures included the foot strike angle, variability of trunk transverse range of motion, stride length, lumbar transverse range of motion variability and single limb support variability (AUC = 0.788, cut-off = 3.5 points; Table 3). The best gait-only combination for dual task walking included the foot strike angle, cadence variability, single limb support variability, stride length and double support variability (AUC = 0.790, cut-off = 2.5 points; Table 3).

### 3.4. Combination of Clinical and Gait Measures

Overall, clinical + gait combinations performed better than clinical-only and gait-only combinations in classifying fallers and non-fallers (Figure 2). Considering only single-task walking measures, the best clinical + gait combination included: FES-I, NFOGQ, foot strike angle and trunk transverse range of motion variability (AUC = 0.850, cut-off = 2.5 points; Table 4). Considering dual-task walking measures, the best clinical + gait combination included: FES-I, foot strike angle, NFOGQ, cadence variability, and single limb support variability (AUC = 0.842, cut-off = 2.5 points; Table 4).



**Figure 2.** ROC curves of best combinations of clinical-only, gait-only and clinical + gait measures according to walking condition: (a) single task walking; (b) dual-task walking.

**Table 3.** Best combinations of gait measurements (single and dual-task walking) in classifying fallers and non-fallers.

| Measures                   |                         |                          |                          |                          | AUC   | Cutoff | Sensitivity | 1–Specificity |
|----------------------------|-------------------------|--------------------------|--------------------------|--------------------------|-------|--------|-------------|---------------|
| 1st                        | 2nd                     | 3rd                      | 4th                      | 5th                      |       |        |             |               |
| <b>Single task walking</b> |                         |                          |                          |                          |       |        |             |               |
| Foot Strike Angle          | Trunk Transverse ROM SD | Stride Length            | Lumbar Transverse ROM SD | Single Limb Support SD   | 0.788 | 3.5    | 0.613       | 0.135         |
| Trunk Transverse ROM SD    | Stride Length           | Lumbar Transverse ROM SD | Single Limb Support SD   | Lumbar Transverse ROM SD | 0.787 | 2.5    | 0.613       | 0.177         |
| Foot Strike Angle          | Trunk Transverse ROM SD | Stride Length            | Single Limb Support SD   | Lumbar Transverse ROM SD | 0.784 | 2.5    | 0.742       | 0.219         |
| Foot Strike Angle          | Stride Length           | Lumbar Transverse ROM SD | Single Limb Support SD   |                          | 0.779 | 2.5    | 0.645       | 0.208         |
| Trunk Transverse ROM SD    | Stride Length           | Lumbar Transverse ROM SD |                          |                          | 0.776 | 1.5    | 0.774       | 0.365         |
| <b>Dual task walking</b>   |                         |                          |                          |                          |       |        |             |               |
| Foot Strike Angle          | Cadence SD              | Single Limb Support SD   | Double Support SD        |                          | 0.790 | 2.5    | 0.613       | 0.152         |
| Foot Strike Angle          | Cadence SD              | Single Limb Support SD   | Stride Length            | Double Support SD        | 0.787 | 2.5    | 0.742       | 0.293         |
| Foot Strike Angle          | Cadence SD              | Single Limb Support SD   |                          |                          | 0.783 | 1.5    | 0.774       | 0.293         |
| Foot Strike Angle          | Cadence SD              | Single Limb Support SD   | Stride Length            |                          | 0.780 | 1.5    | 0.806       | 0.359         |
| Cadence SD                 | Single Limb Support SD  | Stride Length            | Double Support SD        |                          | 0.779 | 2.5    | 0.677       | 0.185         |

ROM = range of motion; SD = standard deviation.

**Table 4.** Best combinations of clinical + gait measures in classifying fallers and non-fallers.

| Measures                   |                   |                         |                         |                         | AUC   | Cutoff | Sensitivity | 1–Specificity |
|----------------------------|-------------------|-------------------------|-------------------------|-------------------------|-------|--------|-------------|---------------|
| 1st                        | 2nd               | 3rd                     | 4th                     | 5th                     |       |        |             |               |
| <b>Single task walking</b> |                   |                         |                         |                         |       |        |             |               |
| FES-I                      | NFOGQ             | Foot Strike Angle       | Trunk Transverse ROM SD |                         | 0.850 | 2.5    | 0.710       | 0.146         |
| FES-I                      | NFOGQ             | Trunk Transverse ROM SD |                         |                         | 0.835 | 1.5    | 0.839       | 0.250         |
| NFOGQ                      | Foot Strike Angle | Trunk Transverse ROM SD |                         |                         | 0.831 | 1.5    | 0.774       | 0.250         |
| FES-I                      | NFOGQ             | Foot Strike Angle       | UPDRS-III               | Trunk Transverse ROM SD | 0.828 | 2.5    | 0.806       | 0.240         |
| FES-I                      | NFOGQ             | Foot Strike Angle       |                         |                         | 0.817 | 1.5    | 0.806       | 0.240         |
| <b>Dual task walking</b>   |                   |                         |                         |                         |       |        |             |               |
| FES-I                      | Foot Strike Angle | NFOGQ                   | Cadence SD              | Single Limb Support SD  | 0.842 | 2.5    | 0.839       | 0.261         |
| FES-I                      | Foot Strike Angle | NFOGQ                   | Single Limb Support SD  |                         | 0.838 | 2.5    | 0.742       | 0.163         |
| FES-I                      | Foot Strike Angle | NFOGQ                   | Cadence SD              |                         | 0.837 | 2.5    | 0.742       | 0.141         |
| FES-I                      | NFOGQ             | Cadence SD              | Single Limb Support SD  |                         | 0.836 | 2.5    | 0.742       | 0.174         |
| FES-I                      | NFOGQ             | Single Limb Support SD  |                         |                         | 0.832 | 1.5    | 0.871       | 0.250         |

FES-I = International Falls Efficacy Scale; NFOGQ = New Questionary of Freezing of Gait; UPDRS-III = Unified Parkinson Disease Rating Scale (part III); ROM = range of motion; SD = standard deviation.

#### 4. Discussion

This study tested the performance of clinical and objective gait measures, both separately and in combinations, to discriminate fallers from non-fallers in PD. Optimal cut-off scores were identified for all tested measures and combinations were built for different scenarios: clinical-only, gait-only (for both single and dual-task walking) and clinical + gait measures. Findings confirmed our hypothesis: the highest AUC in discriminating between fallers and non-fallers was achieved when combining clinical + gait measures. Our findings reinforce the well-described multifactorial nature of falls in PD [1,8,32,33] and have implications for the development of optimized fall risk assessment in PD, as discussed below.

No individual outcome measure had outstanding ( $AUC \geq 0.9$ ) or excellent ( $AUC = 0.8\text{--}0.9$ ) discriminative ability in classifying fallers and non-fallers among people with PD. Top performing measures achieved only acceptable discriminative ability, namely FES-I ( $AUC = 0.743$ ) and foot strike angle ( $AUC = 0.728/0.742$ , single/dual-task walking). Similar AUCs ( $<0.8$ ) have been reported by both prospective (i.e., future falls) [34] and retrospective studies (i.e., classification of fallers and non-fallers) [6,35] testing the discriminative ability of individual clinical, functional and/or objective gait measures in PD. These findings highlight that a single measure is not enough for an accurate classification of risk of falls in PD. Further, the top-performing measures, FES-I and foot strike angle, indicate the association of specific impairments with falls in PD: (i) fear of falling has been frequently associated with occurrence of falls and reduced mobility and independence in people with PD [3,36,37]; (ii) reduced dorsiflexion while walking is a well-known characteristic of gait in PD, and has been shown to be affected by dual-task walking [38]. Therefore, it seems reasonable to suggest that both FEI-S and foot strike angle while walking should be considered for the development of fall risk assessment in PD. Moreover, fallers had more severe motor symptoms (MDS-UPDRS III) and more advanced disease stage (HY), highlighting that the risk of falls in PD increases with disease progression.

The combination of clinical and gait outcomes better classified fallers and non-fallers among people with PD than clinical-only or gait-only combinations. Current findings are consistent with recent studies which demonstrated that the incorporation of objective measures of gait (quantified with wearable inertial sensors [6] or a motion capture system [14]) to standard clinical variables enhanced the classification of fallers and non-fallers in PD. Of note, the study by Vitorio and colleagues [6] assessed participants in the OFF levodopa state and had different gait measures in the top performing models: gait double support and turn duration variability. In the current study, gait measures that entered the top performing combination included foot strike angle and trunk transverse range of motion variability. These differences across the two studies suggest that the assessment of gait as part of fall risk assessment can be affected by PD medication state.

Specific gait measures, recorded using wearable inertial sensors, can enhance the traditional fall risk assessment in PD. Particularly, placement of inertial sensors on feet and trunk might be necessary for the classification of fallers and non-fallers as foot strike angle and trunk transverse range of motion variability had the highest AUCs among single walking measures. Further, our findings suggest that the dual-task condition used in this study (i.e., maximum forward digit span while walking) does not enhance the discriminative ability of combinations involving clinical and gait measures. Therefore, the forward digit span task while walking (not dual-task walking in general) may not be useful as part of fall risk assessment in PD as this would add time and complexity to the assessment. This is supported by previous research showing enhanced classification of falls in PD when a different dual-task condition (e.g., subtracting serial 7 s or 3 s) is considered [39,40].

Although the use of AUC as the primary measure is supported in the literature [31], the decision about the implementation of a model to classify fallers and non-fallers must also consider sensitivity and specificity. For example, the top two combinations of clinical + gait measures (single walk, Table 4) had three measures in common: FES-I, NFOGQ, and trunk transverse range of motion variability. The combination of these three measures

correctly identified 83.9% of fallers and 75% of non-fallers (sensitivity and specificity, respectively); on the other hand, the combination involving these three measures and foot strike angle correctly identified 71% of fallers and 85.4% of non-fallers. A clear trade-off between sensitivity and specificity arises when foot strike angle is added to the combination involving FES-I, NFOGQ, and trunk transverse range of motion variability. This finding suggests that the foot strike angle adds more weight to the specificity.

The key strengths of the current study include the comprehensive clinical assessment (including motor and cognitive symptoms), use of objective and validated gait measures obtained with wearable inertial sensors, and the assessment in the ON state of PD medication. This approach covers the multifactorial nature of falls in PD and represents enhanced ecological validity in comparison to our previous study [6]. Particularly, wearable inertial sensors involve a low-cost, easy and quick setup that facilitate the use of gait assessment in clinical practice. Furthermore, because our sample included participants in stages 1 to 3 of PD, who were recruited from two centers in different countries, the generalization of findings is enhanced. However, the self-report of falls can be limited by subjective reporting. Moreover, considering that this was a retrospective study, current findings cannot be generalized to the prediction of future falls. Therefore, prospective studies are necessary to investigate whether the combinations of clinical and gait measures identified in the current study can predict future falls in PD. Current findings support that the use of technology may facilitate early identification of people with PD at risk of falling through enhanced accuracy of assessment protocols; technology can also facilitate early rehabilitation aiming to reduce the risk of falls [41].

## 5. Conclusions

Our findings suggest that combinations of clinical and gait measures have higher discriminative ability in classifying fallers from non-fallers among people with PD than combinations of clinical-only and gait-only measures. In particular, several combinations of clinical + gait measures had excellent discriminative ability ( $AUC > 0.8$ ); combinations with the highest AUC included FES-I, NFOGQ, foot strike angle and trunk transverse range of motion variability.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/s23104651/s1>, Table S1: AUC, sensitivity, and 1-specificity values for all clinical and gait measures in classifying fallers and non-fallers among people with PD.

**Author Contributions:** Conceptualization, R.V. and S.S.; methodology, C.M., J.D., L.G., R.M., R.V., R.W. and S.S.; formal analysis, H.A.G.O.A. and R.V.; investigation, C.M., H.A.G.O.A., J.D., L.G., R.M., R.V., R.W., S.M.S. and S.S.; resources, C.M., R.M., R.W. and S.S.; data curation, H.A.G.O.A., R.V. and S.S.; writing—original draft preparation, H.A.G.O.A.; writing—review and editing, C.M., H.A.G.O.A., J.D., L.G., R.M., R.V., R.W., S.M.S. and S.S.; supervision, R.V. and S.M.S.; project administration, R.V. and S.S.; funding acquisition, R.V., S.M.S. and S.S. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by Parkinson's Foundation, grants number PF-FBS-1898-18-21 (PI: Sam Stuart) and PF-CRA-2073 (PI: Sam Stuart) and was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior—Brasil (CAPES)—Financial Code 001. (PIs: Suhaila M Smaili and Rodrigo Vitorio).

**Institutional Review Board Statement:** The study was conducted in accordance with the Declaration of Helsinki, and approved by the London-Bloomsbury NHS Research Ethics Committee (and Health Research Authority; 20/LO/1036, 5 October 2020) and the Institutional Review Board of the Oregon Health & Science University (#9903).

**Informed Consent Statement:** Informed consent was obtained from all subjects involved in the study.

**Data Availability Statement:** All data are available from the corresponding author upon reasonable request.



**Acknowledgments:** The authors thank all participants for generously donating their time to participate. We also thank Martina Mancini and the Balance Disorders Laboratory (OHSU) team for their support with data collection.

**Conflicts of Interest:** The authors declare no conflict of interest.

## References

- Allen, N.E.; Canning, C.G.; Almeida, L.R.S.; Bloem, B.R.; Keus, S.H.; Löfgren, N.; Nieuwboer, A.; Verheyden, G.S.; Yamato, T.P.; Sherrington, C. Interventions for preventing falls in Parkinson's disease. *Cochrane Database Syst. Rev.* **2022**, *6*, 12–38. [\[CrossRef\]](#)
- Paul, S.S.; Dibble, L.E.; Peterson, D.S. Motor learning in people with Parkinson's disease: Implications for fall prevention across the disease spectrum. *Gait Posture* **2018**, *61*, 311–319. [\[CrossRef\]](#)
- Wilczyński, J.; Ścipniak, M.; Ścipniak, K.; Margiel, K.; Wilczyński, I.; Zieliński, R.; Sobolewski, P. Assessment of Risk Factors for Falls among Patients with Parkinson's Disease. *BioMed Res. Int.* **2021**, *2021*, 5531331. [\[CrossRef\]](#)
- Ashburn, A.; Pickering, R.; McIntosh, E.; Hulbert, S.; Rochester, L.; Roberts, H.C.; Nieuwboer, A.; Kunkel, D.; Goodwin, V.A.; Lamb, S.E.; et al. Exercise- and strategy-based physiotherapy-delivered intervention for preventing repeat falls in people with Parkinson's: The PDSAFE RCT. *Health Technol. Assess.* **2019**, *23*, 1–147. [\[CrossRef\]](#) [\[PubMed\]](#)
- Liu, W.; Tung, T.; Zhang, C.; Shi, L. Systematic review for the prevention and management of falls and fear of falling in patients with Parkinson's disease. *Brain Behav.* **2022**, *12*, e2690. [\[CrossRef\]](#)
- Vitorio, R.; Mancini, M.; Carlson-Kuhta, P.; Horak, F.B.; Shah, V.V. Should we use both clinical and mobility measures to identify fallers in Parkinson's disease? *Park. Relat. Disord.* **2023**, *106*, 105235. [\[CrossRef\]](#)
- Owen, C.L.; Ibrahim, K.; Dennison, L.; Roberts, H.C. Falls Self-Management Interventions for People with Parkinson's Disease: A Systematic Review. *J. Park. Dis.* **2019**, *9*, 283–299. [\[CrossRef\]](#)
- Lima, D.P.; De-Almeida, S.B.; Bonfadini, J.D.C.; Carneiro, A.H.S.; de Luna, J.R.G.; de Alencar, M.S.; Viana-Júnior, A.B.; Rodrigues, P.G.B.; Pereira, I.D.S.; Roriz-Filho, J.D.S.; et al. Falls in Parkinson's disease: The impact of disease progression, treatment, and motor complications. *Dement. Neuropsychol.* **2022**, *16*, 153–161. [\[CrossRef\]](#)
- Miri, A.; Araújo, H.; Gil, A.; de Oliveira, M.; Volpe, R.; Angelo, E.; Smaili, S.M. Analysis of handgrip strength, pulling force using the upper limbs, and ground reaction forces in the task of boarding a bus between healthy elderly individuals and those with Parkinson's disease. *Physiother. Theory Pract.* **2022**, *38*, 1–10. [\[CrossRef\]](#) [\[PubMed\]](#)
- Fasano, A.; Canning, C.G.; Hausdorff, J.M.; Lord, S.; Rochester, L. Falls in Parkinson's disease: A complex and evolving picture. *Mov. Disord.* **2017**, *32*, 1524–1536. [\[CrossRef\]](#)
- Allen, N.E.; Schwarzel, A.K.; Canning, C.G. Recurrent Falls in Parkinson's Disease: A Systematic Review. *Park. Dis.* **2013**, *2013*, 1–16. [\[CrossRef\]](#) [\[PubMed\]](#)
- Kim, S.D.; Allen, N.E.; Canning, C.G.; Fung, V.S.C. Postural Instability in Patients with Parkinson's Disease. *CNS Drugs* **2013**, *27*, 97–112. [\[CrossRef\]](#) [\[PubMed\]](#)
- Da Conceição, N.R.; de Sousa, P.N.; Pereira, M.P.; Gobbi, L.; Vitória, R. Utility of center of pressure measures during obstacle crossing in prediction of fall risk in people with Parkinson's disease. *Hum. Mov. Sci.* **2019**, *66*, 1–8. [\[CrossRef\]](#)
- Delval, A.; Betrouni, N.; Tard, C.; Devos, D.; Dujardin, K.; Defebvre, L.; Labidi, J.; Moreau, C. Do kinematic gait parameters help to discriminate between fallers and non-fallers with Parkinson's disease? *Clin. Neurophysiol.* **2021**, *132*, 536–541. [\[CrossRef\]](#) [\[PubMed\]](#)
- Goetz, C.G.; Tilley, B.C.; Shaftman, S.R.; Stebbins, G.T.; Fahn, S.; Martinez-Martin, P.; Poewe, W.; Sampaio, C.; Stern, M.B.; Dodel, R.; et al. Movement Disorder Society-sponsored revision of the Unified Parkinson's Disease Rating Scale (MDS-UPDRS): Scale presentation and clinimetric testing results. *Mov. Disord.* **2008**, *23*, 2129–2170. [\[CrossRef\]](#)
- Hoehn, M.M.; Yahr, M.D. Parkinsonism: Onset, progression, and mortality. *Neurology* **1967**, *17*, 427–442. [\[CrossRef\]](#)
- Hughes, A.J.; Daniel, S.E.; Kilford, L.; Lees, A.J. Accuracy of clinical diagnosis of idiopathic Parkinson's disease: A clinicopathological study of 100 cases. *J. Neurol. Neurosurg. Psychiatry* **1992**, *55*, 181–184. [\[CrossRef\]](#)
- Dalrymple-Alford, J.C.; MacAskill, M.R.; Nakas, C.T.; Livingston, L.; Graham, C.; Crucian, G.P.; Melzer, T.R.; Kirwan, J.; Keenan, R.; Wells, S.; et al. The MoCA: Well-suited screen for cognitive impairment in Parkinson disease. *Neurology* **2010**, *75*, 1717–1725. [\[CrossRef\]](#)
- Royall, D.R.; A Cordes, J.; Polk, M. CLOX: An executive clock drawing task. *J. Neurol. Neurosurg. Psychiatry* **1998**, *64*, 588–594. [\[CrossRef\]](#)
- Sánchez-Cubillo, I.; Periáñez, J.A.; Adrover-Roig, D.; Rodríguez-Sánchez, J.M.; Ríos-Lago, M.; Tirapu, J.; Barceló, F. Construct validity of the Trail Making Test: Role of task-switching, working memory, inhibition/interference control, and visuomotor abilities. *J. Int. Neuropsychol. Soc.* **2009**, *15*, 438–450. [\[CrossRef\]](#)
- Calamia, M.; Markon, K.; Denburg, N.L.; Tranel, D. Developing a Short Form of Benton's Judgment of Line Orientation Test: An Item Response Theory Approach. *Clin. Neuropsychol.* **2011**, *25*, 670–684. [\[CrossRef\]](#)
- Yardley, L.; Beyer, N.; Hauer, K.; Kempen, G.; Piot-Ziegler, C.; Todd, C. Development and initial validation of the Falls Efficacy Scale-International (FES-I). *Age Ageing* **2005**, *34*, 614–619. [\[CrossRef\]](#) [\[PubMed\]](#)
- Hasegawa, N.; Shah, V.V.; Carlson-Kuhta, P.; Nutt, J.G.; Horak, F.B.; Mancini, M. How to Select Balance Measures Sensitive to Parkinson's Disease from Body-Worn Inertial Sensors—Separating the Trees from the Forest. *Sensors* **2019**, *19*, 3320. [\[CrossRef\]](#)

24. Shah, V.V.; McNames, J.; Mancini, M.; Carlson-Kuhta, P.; Spain, R.I.; Nutt, J.G.; El-Gohary, M.; Curtze, C.; Horak, F.B. Quantity and quality of gait and turning in people with multiple sclerosis, Parkinson's disease and matched controls during daily living. *J. Neurol.* **2020**, *267*, 1188–1196. [[CrossRef](#)]
25. Mancini, M.; King, L.; Salarian, A.; Holmstrom, L.; McNames, J.; Horak, F.B. Mobility Lab to Assess Balance and Gait with Synchronized Body-worn Sensors. *J. Bioeng. Biomed. Sci.* **2013**, 1–5. [[CrossRef](#)]
26. Morris, R.; Stuart, S.; McBarron, G.; Fino, P.C.; Mancini, M.; Curtze, C. Validity of Mobility Lab (version 2) for gait assessment in young adults, older adults and Parkinson's disease. *Physiol. Meas.* **2019**, *40*, 095003. [[CrossRef](#)] [[PubMed](#)]
27. Washabaugh, E.P.; Kalyanaraman, T.; Adamczyk, P.G.; Claflin, E.S.; Krishnan, C. Validity and repeatability of inertial measurement units for measuring gait parameters. *Gait Posture* **2017**, *55*, 87–93. [[CrossRef](#)]
28. Mancini, M.; Horak, F.B. Potential of APDM mobility lab for the monitoring of the progression of Parkinson's disease. *Expert Rev. Med. Devices* **2017**, *13*, 455–462. [[CrossRef](#)]
29. El-Gohary, M.; Pearson, S.; McNames, J.; Mancini, M.; Horak, F.; Mellone, S.; Chiari, L. Continuous Monitoring of Turning in Patients with Movement Disability. *Sensors* **2014**, *14*, 356–369. [[CrossRef](#)] [[PubMed](#)]
30. Hosmer, D.W.; Lemeshow, S.; Sturdivant, R.X. *Applied Logistic Regression*, 3rd ed.; John Wiley & Sons: Hoboken, NJ, USA, 2013.
31. Perkins, N.J.; Schisterman, E.F. The Inconsistency of "Optimal" Cut-Points Using Two ROC Based Criteria. *Am. J. Epidemiol.* **2006**, *163*, 670–675. [[CrossRef](#)]
32. Morrison, S.; Moxey, J.; Reilly, N.; Russell, D.M.; Thomas, K.M.; Grunsfeld, A.A. The relation between falls risk and movement variability in Parkinson's disease. *Exp. Brain Res.* **2021**, *239*, 2077–2087. [[CrossRef](#)] [[PubMed](#)]
33. Venhovens, J.; Meulstee, J.; Bloem, B.R.; Verhagen, W.I.M. Neurovestibular Dysfunction and Falls in Parkinson's Disease and Atypical Parkinsonism: A Prospective 1 Year Follow-Up Study. *Front. Neurol.* **2020**, *11*, 580285. [[CrossRef](#)]
34. Kerr, G.K.; Worringham, C.J.; Cole, M.H.; Lacherez, P.F.; Wood, J.M.; Silburn, P.A. Predictors of future falls in Parkinson disease. *Neurology* **2010**, *75*, 116–124. [[CrossRef](#)]
35. Almeida, L.R.; Valenca, G.T.; Negreiros, N.N.; Pinto, E.B.; Oliveira-Filho, J. Comparison of Self-report and Performance-Based Balance Measures for Predicting Recurrent Falls in People with Parkinson Disease: Cohort Study. *Phys. Ther.* **2016**, *96*, 1074–1084. [[CrossRef](#)]
36. Wang, C.; Patriquin, M.; Vaziri, A.; Najafi, B. Mobility Performance in Community-Dwelling Older Adults: Potential Digital Biomarkers of Concern about Falling. *Gerontology* **2021**, *67*, 365–373. [[CrossRef](#)]
37. Atrsaei, A.; Hansen, C.; Elshehabi, M.; Solbrig, S.; Berg, D.; Liepelt-Scarfone, I.; Maetzler, W.; Aminian, K. Effect of Fear of Falling on Mobility Measured During Lab and Daily Activity Assessments in Parkinson's Disease. *Front. Aging Neurosci.* **2021**, *13*, 793. [[CrossRef](#)] [[PubMed](#)]
38. Vitorio, R.; Hasegawa, N.; Carlson-Kuhta, P.; Nutt, J.G.; Horak, F.B.; Mancini, M.; Shah, V.V. Dual-Task Costs of Quantitative Gait Parameters While Walking and Turning in People with Parkinson's Disease: Beyond Gait Speed. *J. Park. Dis.* **2021**, *11*, 653–664. [[CrossRef](#)] [[PubMed](#)]
39. Heindel, S.; Maechtel, M.; Hasmann, S.E.; Hobert, M.A.; Heger, T.; Berg, D.; Maetzler, W. Motor dual-tasking deficits predict falls in Parkinson's disease: A prospective study. *Park. Relat. Disord.* **2016**, *26*, 73–77. [[CrossRef](#)]
40. Vance, R.C.; Healy, D.G.; Galvin, R.; French, H.P. Dual Tasking with the Timed "Up & Go" Test Improves Detection of Risk of Falls in People with Parkinson Disease. *Phys. Ther.* **2015**, *95*, 95–102. [[CrossRef](#)]
41. Maranesi, E.; Casoni, E.; Baldoni, R.; Barboni, I.; Rinaldi, N.; Tramontana, B.; Amabili, G.; Benadduci, M.; Barbarossa, F.; Luzi, R.; et al. The Effect of Non-Immersive Virtual Reality Exergames versus Traditional Physiotherapy in Parkinson's Disease Older Patients: Preliminary Results from a Randomized-Controlled Trial. *Int. J. Environ. Res. Public Health* **2022**, *19*, 14818. [[CrossRef](#)]

**Disclaimer/Publisher's Note:** The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.